

# COST-EFFICIENT RESIDENTIAL ENERGY MANAGEMENT SCHEME FOR INFORMATION-CENTRIC NETWORKING BASED HOME NETWORK IN SMART GRID

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## ABSTRACT

*Home network (HOMENET) performs multiple important functions such as energy management, multimedia sharing, lighting and climate control in smart grid (SG). In HOMENET there are numerous challenges among which mobility and security are the basic requirements that need to be addressed with priority. The information-centric networking (ICN) is regarded as the future Internet that subscribes data in a content-centric manner irrespective of its location. Furthermore, it has special merit in mobility and security since ICN supports in-network caching and self-contained security, these make ICN a potential solution for home communication fabric. This paper aims to apply the ICN approach on HOMENET system, which we called ICN-HOMENET. Then, a proof-of-concept evaluation is employed to evaluate the effectiveness of the proposed ICN-HOMENET approach in data security, device mobility and efficient content distribution for developing HOMENET system in SG. In addition, we proposed a cost-efficient residential energy management (REM) scheme called ICN-REM scheme for ICN-HOMENET system which encourages consumers to shift the start time of appliances from peak hours to off-peak hours to reduce the energy bills. To the best of our knowledge, this is the first attempt to propose an ICN-based REM scheme for HOMENET system. In this proposal, we not only consider the conflicting requests from appliances and domestic power generation, but also think the energy management unit (EMU) should cooperate with measurement sensors to control some specific appliances in some specific conditions. Moreover, the corresponding performance evaluation validates its correctness and effectiveness.*

## KEYWORDS

*Residential Energy Management, Information-Centric Networking, Home Network, Smart Grid*

## 1. INTRODUCTION

The worldwide energy consumption is fast increasing. It results in the existing power grid is very difficult to generate necessary enough energy by base plants to address the power demands, and keep the generated power supply and the load demand balanced. Hence, several major blackouts have been already experienced worldwide. Moreover, the existing power grid is almost being used for a century and it shows signs of aging, it is highly required to be innovated right now.

Table1. Comparison of Today's Grid vs. Smart Grid [1]

Preferred Characteristic	Today's Grid	Smart Grid
Active Consumer Participation	Consumers are uninformed and do not participate	Informed, involved consumers-demand response and distributed energy resources
Accommodation of all generation and storage options	Dominated by central generation-many obstacles exist for distributed energy resources interconnection	Many distributed energy resources with plug-and-play convenience focus on renewables
New products, services, and markets	Limited, poorly integrated wholesale markets. Limited opportunities for consumers	Mature, well-integrated wholesale markets. Growth of new electricity markets for consumers
Provision of power quality for the digital economy	Focus on outages-slow response to power quality issues	Power quality a priority with a variety of quality/ price options-rapid resolution of issues
Optimization of assets and operates efficiently	Little integration of operational data with asset management-business process silos	Greatly expanded data acquisition of grid parameters focus on prevention, minimizing impact to consumers
Anticipating responses to system disturbances (self-healing)	Responds to prevent further damage; Focus on protecting assets following a fault	Automatically detects and responds to problems; focus on prevention, minimizing impact to consumers
Resiliency against cyber attack and natural disaster	Vulnerable to malicious acts of terror and natural disasters; Slow response	Resilient to cyber attack and natural disaster; Rapid restoration capabilities

The SG is expected to address the major shortcomings of the existing grid. In essence, SG will involve serious renewable energy resources. Hence, automated management is required for power system to ensure effective and efficient. In order to address these requirements, ICT is used in power grid. One of significant renovations is installation of SMs. SMs can provide two-way communications in real time between customers and utilities, which makes demand-side management possible. Table 1 describes the detail comparison between today's grid and SG.

HOMENET performs multiple important functions such as energy management, multimedia sharing, lighting control and climate control in SG [2], which should be addressed with priority. However, current protocols in HOMENET is based on host-centric IP protocol [3], which inherits serious fundamental problems of IP such as mobility, security and content multicasting distribution. Whereby, mobility and security are the basic requirements for HOMENET [2]. In order to solve these issues, ICN, with its specific features, are proposed for home communication fabric. The basic idea of ICN is to subscribe data in a content-centric manner irrespective of its location. Hence, users can only focus on what they are interested in, regardless of the physical address of content. Furthermore, ICN has pecial merit in delivering efficiency and network traffic reduction since ICN supports in-network caching. The same named data may get stored at multiple different locations. If the user changes location and the content is retrieved by re-expressing interests to the network, the data availability is improved under conditions of device mobility because, user can get data from nearest node which stored the data instead from the

publisher. Moreover, the security of data relies on the data itself instead of communication channels. These features strongly benefit the current HOMENET. Last but not least, HOMENET is greater flexibility to be in particular with ICN because it is administratively-independent and smaller-scope [4][5]. [6]and[2] propose to apply ICN for HOMENET, but also present several challenges towards home automation. As one of these challenges, power management should be paid attention even though much related work has been done in IP-HOMENET. However, to our best acknowledge, few work focuses on REM in ICN-HOMENET until now, which is worth to be followed.

In the state-of-the-art REM implementation, SM are being deployed to homes in United States, Canada and Europe. Moreover, TOU rated are employed by several utility companies to enable flexible billing. Furthermore, the renewable energy, generated by home owners, can be consumed by home owners or sold to utility companies. Now a few projects are in trial and for example, Ontario government's Feed-In Tariff (micro-FIT) program [7] allows home owners to sell the excess energy generated by solar photovoltaics (PV), waterpower, biomass, wind, etc. to utility companies by specific contracts. Moreover, many "annually zero energy houses" are established in Toronto supported by NOW House project [8]. It means that the energy amounts these houses consume from grid is in equal to the energy amounts what they sell to grid in each year.

Recently, ICN-based HOMENET and REM scheme have become an active topic and several research work has been presented. In [2], the authors present a case for ICN based HOMENET in deal with the fundamental problems of IP-based network. Then a comparison in terms of service, control, and data plane complexity and features between IETF's HOMENET proposal with ICN-based approach is provided. Finally, proof-of-concept based analysis highlights the usefulness of ICN for HOMENET. However, this paper doesn't refer to any REM scheme for ICN-based HOMENET. [6] proposes a secure group communication scheme and an efficient group key management protocol specifically in ICN-based home communication fabric because secure group-oriented subscribe-publish communication (i.e. data confidentiality is guaranteed in multicasting) is not addressed by ICN. Whereas the REM scheme is not concerned by this paper. In [9], the authors propose a WSN-based energy control algorithm among intelligent devices for REM systems and the evaluation shows it is useful for cost-efficiency. However, in this case, the EMU doesn't cooperate with status measurement sensors to enable intelligent control decisions for actuation (e.g. close all the lights when none is detected). Furthermore, the "conflicting request" prevention mechanism is not referred and it is based on IP-HOMENET system. In summary, following ICN features, ICN-HOMENET is worth to keep researching specifically for REM scheme.

In our previous work, we proposed a modified REM algorithm to deal with conflicting requests of appliances [10]. In the regard, a domestic EMU is used to communicate with, manage and schedule all the appliances in HAN for saving bills. After received the appliance requests from users, EMU will firstly check the available self-generated energy (i.e. by solar panels, wind plants, etc.). If the local energy is not enough, EMU will communicate with SM to update the latest electricity price and peak hours information (i.e. TOU rates). Then it schedules the appliances' demands from peak hours to off-peak hours if possible to decrease power load and customer bills. Because the peaker plants are usually composed by coal and gas-fired plants during peak hours so that they have high maintenance and operation costs. Furthermore, this algorithm is experienced in a secure and mature cloud environment to test its large data processing capacity. Finally, a simulation-based evaluation demonstrates our proposed algorithm is available for TOU-aware HEM system and it is efficient to reduce consumers' bills and prevent network load increasing. However, all the communication technologies in this paper are based on IP protocol, which inherits serious fundamental issues of IP such as multicasting, security and mobility. In this paper, we presents a cost-efficient REM scheme for ICN based HOMENET in

SG. First, in order to address the shortcomings of IP-HOEMENT such as security, mobility and content multicast distribution, we put forward to apply ICN in HOMENET system and because, it can provide natural support for above mentioned issues with the specific features of ICN such as self-contained data security, in-network caching and receiver-oriented operation. Meanwhile, ICN can support a much more efficient multimedia sharing in HOMENET due to its caching mechanism. Then, we present a cost-efficient REM scheme for ICN-HOMENET since it is very difficult to migrate existing IP-based REM schemes to ICN-based HOMENET, because they are two kinds of totally different Internet architectures. To the best of our knowledge, this is the first attempt to propose an ICN-based REM scheme in HOMENET system. In this proposal, we not only consider the conflicting requests from appliances and domestic power generation, but also think the EMU should cooperate with measurement sensors to control some specific appliances in specific conditions (e.g. EMU can send a request message to close the lights when nobody is measured at home). It is important to note that we should avoid this approach to decrease the comfort of the consumers.

The contributions of this paper are as follows:

- 1) We design an ICN approach specifically for HOMENET system called ICN-HOMENET to control network congestion, support mobility and ensure security. Moreover we conduct the proof-of-concept evaluation comparison between ICN-HOMENET system and existing HOMENET system based TCP/IP protocol.
- 2) We propose a cost-efficient REM scheme called ICN-REM scheme for ICN-HOMENET system which encourages consumers to shift the start time of appliances from peak hours to off-peak hours to reduce the energy bills. The corresponding performance evaluation validates its correctness and effectiveness. Moreover, a detailed comparison between the proposed ICN-REM scheme and other IP-REM schemes has been done.

The rest of the paper is organized as follows. In Section2, we introduce ICN approach that is available for the HOMENET. Section 3 presents a cost-efficient REM Scheme for ICN-HOMNET system in detail. And in Section 4 and 5, we give our proof-of-concept evaluation for ICN-HOMENET system and performance analysis of ICN-REM scheme, respectively. Finally, Section 6 concludes this paper.

## **2. ICN-HOMENET SYSTEM**

### **2.1. ICN-HOMENET Design Motivation**

HOMENET should support the multiple services such as light control, multimedia sharing, climate control and energy management [2]. Thus, it has strong requirements for security, mobility, network traffic control, etc. These problems also belong to the fundamental issues for IP network which need to be addressed with priority. However, ICN can help HOMENET in SG because ICN's features meet the HOMENET's requirements very well.

To begin with, ICN enforces receiver-oriented operation. It can help HOMENET provide the content-centric access manner. Normally, the users in HOMENET focus on the content itself what they are interested, rather than the content location. For instance, when a user tries to get one multimedia file in HOMENET, it is not necessary to get the physical address of this file. ICN can naturally address this requirement based on its "interest-data" paradigm through named-based routing. Moreover, shifting from host-centric to content-centric routing also makes it easier to support mobile clients.

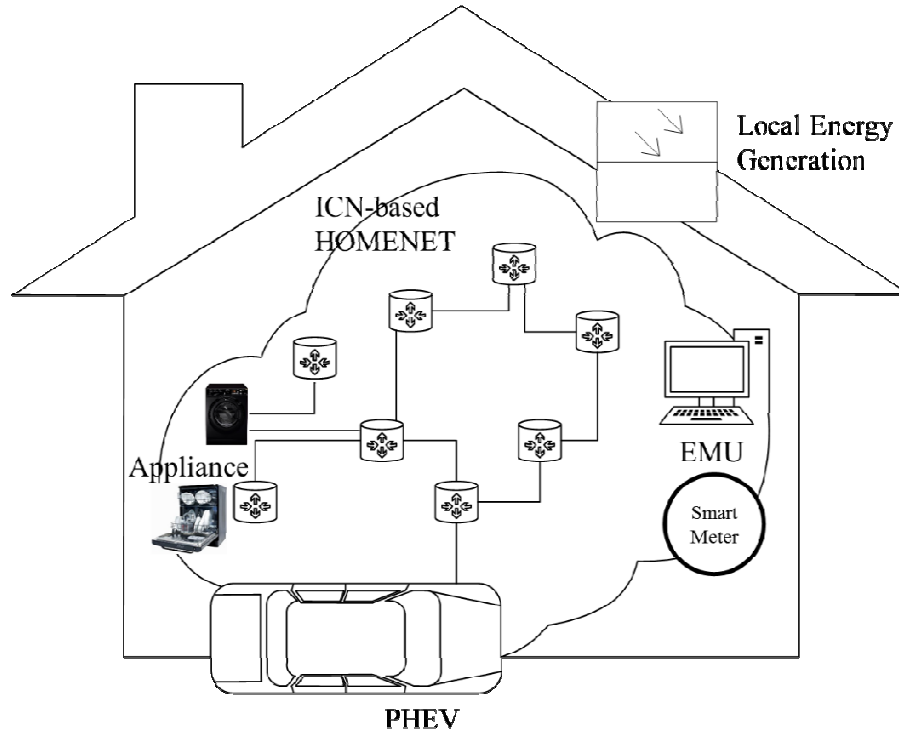


Figure 1. ICN-HOMENET System Structure [11]

Then, ICN supports in-network caching. It can efficiently reduce response time and transit traffic. Moreover, it is beneficial under mobility. Data can be cached at every intermediate node from a source to a destination in ICN, thus users can retrieve the data from the nearest router which stored the copy of data instead from the source again. This feature of ICN can help HOMENET provide better capabilities on network traffic control. In addition, the mobile devices in ICN don't need to retrieve data upon reconnection to the network but rather re-sending the "interest" and getting the data from the caching. Moreover, the temporarily disconnected devices also can benefit from in-network caching.

Last but not least, ICN secures the data itself instead of the protection of end-to-end communication channel. It can help HOMENET simplify security processing. HOMENET has a strong need to address security because large number of sensitive information such as customers' privacy in HOMENET should be protected. In comparison with IP network, ICN can provide stronger capabilities to ensure its confidentiality, integrity and authenticity. Besides, ICN's low-power operation and group-oriented "publisher-subscriber" manner are also beneficial to HOMENET.

## 2.2. ICN-HOMENET System Structure

Energy management unit, SM, local energy generation, EV and appliances serves as the crucial components to support the HOMENET. Now we propose a structure of ICN-HOMENET system (Figure 1) in term of existing literature [11]. The biggest difference is that the ICN-HOMENET replaces the IP routers in [11] to ICN routers. In addition, the structure is comprised of the following components:

- SM comes with in-home displays, which measures and records the real-time energy usage and cost by two-way communication.
- Local energy generation is small scale electricity generation for family use and energy storage.

Table 2. Basic Notations and Definitions in ICN-HOMENET System

Notations	Meaning
$i$	The number of appliance
$s$	The sequence number of request generated by the appliance, storage and SM
$RSt_i$	The requested start time of appliance $i$
$t_i$	The operated time duration of appliance $i$
$SSt_i$	The suggested start time of appliance $i$
$Dt_i$	The suggested delay of appliance $i$
$ASSt_i$	The actual start time of appliance $i$
$j$	The number of storage
$E_m$	The amount of available storage
$Ft_i$	The finish time of appliance $i$
$p_t$	The current electricity price at time $t$
$t$	The current time
<i>AvailableStorageRequest</i>	The text for requesting available storage
<i>CurrentPriceRequest</i>	The text for requesting current electricity price
<i>Reason</i>	The text for requesting reason
<i>Reply</i>	The text for replying the request
$D_{max}$	The maximum allowable delay

- Energy management unit is responsible for communication with different other components to achieve the most efficient energy management.
- Appliance is short for intelligent devices which expects to shift its start time to off-peak hours to decrease energy bills.
- EV is powered through a collector system by electricity. And the electricity is provided by a battery or converted from fuel [12].

### 2.3. Innovation from IP-HOMENET to ICN-HOMENET

The migration from current IP-HOMENET to ICN-HOMENET can be gradual. One deployment option is based on an overlay model where ICN routers are selectively placed inside the current network. For example, ICN routers can be used as gateway nodes placed next to appliances for the purpose of efficient caching, aggregation, etc. This option is cost effective due to the use of IP networks without disturbing the underlying network infrastructure. The other option can be based on a clean-slate mechanism where the IP layer of the current network is totally replaced by the name or ID layer, and so name based routing is carried out in the pure ICN network.

## 3. ICN-REM SCHEME FOR ICN-HOMENET SYSTEM

### 3.1. Basic Notations and Definitions for ICN-REM Scheme

To design the ICN-REM scheme, the basic notations and definitions in ICN-HOMENET system is listed in Table 2.

### 3.2. Design Background and Principle for ICN-REM Scheme

SMs and their communication with the grid, are the critical components of SG. With the installation of SMs, utilities are able to employ TOU pricing to enable flexible billing. According to the recently introduced TOU rates, price of electricity has been divided into peak, mid-peak and off-peak hours. Any appliance working in peak hours is required to charge more since the cost for generating power in peak hours is higher because, the peak plants must be brought online and cost more for maintenance and operation when customer demands exceed the capacity of

Table 3. Control Data of REM Scheme in ICN-HOMENET System

Control Data	Name	“Data” content
Start Request	<i>./request/appliance/start</i>	<i>i, s, RSt<sub>i</sub>, t<sub>i</sub></i>
Start Response	<i>./response/appliance/start</i>	<i>i, s, SSt<sub>i</sub></i>
Start Notification	<i>./notification/appliance/start</i>	<i>i, s, ASt<sub>i</sub></i>
Storage Request	<i>./request/storage/available</i>	<i>j, s, AvailableStorageRequest</i>
Storage Response	<i>./response/storage/available</i>	<i>j, s, E<sub>m</sub></i>
Storage Update	<i>./update/storage/available</i>	<i>j, s, i, Ft<sub>i</sub>, E<sub>m</sub></i>
Meter Request	<i>./request/meter/price</i>	<i>s, CurrentPriceRequest</i>
Meter Response	<i>./response/meter/price</i>	<i>s, p<sub>t</sub></i>
Stop Request	<i>./request/appliance/stop</i>	<i>i, s, Reason</i>
Stop Response	<i>./response/appliance/stop</i>	<i>i, s, Reply</i>
Control Request	<i>./request/appliance/control</i>	<i>i, s, Reason</i>
Control Response	<i>./response/appliance/control</i>	<i>i, s, Reply</i>

base power plants in peak hours. However, this challenge can't be solved by easily updating the capacity of base power plants to match the peak load in peak hours due to the lack of large scale power storing technologies. Hence, it is a good choice to help customers decrease energy bills that shifting the power load from peak hours to off-peak hours. In REM Scheme, EMU, as a domestic management unit, communicates with appliances by Zigbee or WiFi technologies. Moreover, it also can exchange messages with the local storage center and SM to get the amount of available energy and update the price of electricity, respectively. In addition, sensors are widely used in smart home to guarantee security and measure health conditions. It is highly required to cooperate with EMU to provide much more efficient power management. Last but not the least, we also should highlight the conflicting request in REM because it will cause the network traffic even network paralysis.

Hence, the design principle of ICN-REM scheme is listed as follows.

1. ICN-REM scheme should extremely improve efficiency and decrease the bills of customers. In the regard, it is encouraged to shift demands to off-peak hours at the most extent.
2. EMU should cooperate with local generated energy from solar, wind and so on.
3. Conflicting requests from appliances should be extremely avoided.
4. ICN-REM should cooperate with home sensors to improve efficiency of energy management.
5. EMU can't force any automated start time on the appliances because this will cause discomfort on customers' side.

### 3.3. Preparation for ICN-REM Scheme

In ICN-HOMENET system, "subscribe-publish" paradigm can't address the requirements of monitor for publisher. For instance, in order to receive the start request message from appliances, the EMU must send the "interest" uninterruptedly. [6]has proposed APIs to solve this problem. It can send "interest" only one time to keep itself available once, periodically or persistently.

- a) Once. The subscription only keeps available once after "interest" arrived publisher side.
- b) Periodic. The subscription keeps available in publisher side periodically such as one hour, one day.
- c) Persistent. The subscription is kept persistent.

### 3.4. The Process of ICN-REM Scheme for ICN-HOMENET System

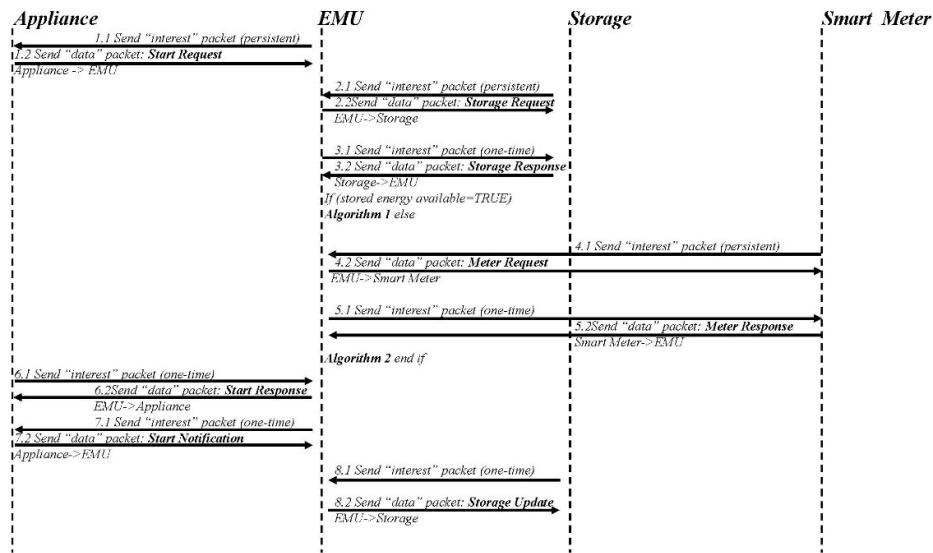


Figure 2. Flow Chat of ICN-REM Scheme (1)

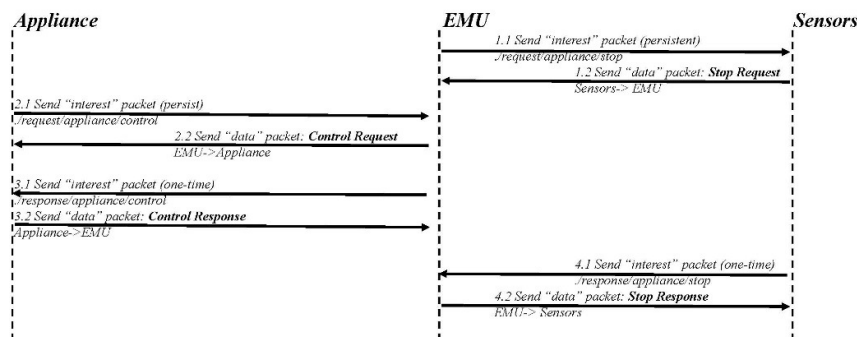


Figure 3. Flow Chat of ICN-REM Scheme (2)

For REM in ICN-HOMENET environment, the control data are illustrated in Table 3. *Start Request/Start Response* are used for an appliance to inform EMU that it plans to work and then get a suggested start time from EMU. *Start Notification* is used for appliance to inform EMU its



actual start time, which is absolutely decided by consumer. The detailed reason is explained in section 3.2. *Storage Request / Storage Response / Storage Update* are used for EMU to send request of the amount of available storage to local power generation, get the response of the amount, and inform how much local power left after it is used by any appliance.

*Meter Request/Meter Response* are responsible for getting the price of electricity from SM. All above control data are used for Flow Chat of ICN-REM Scheme (1) (Figure. 2). Moreover, *Stop Request/Stop Response* and *Control Request / Control Response* are used for EMU to cooperate with large number of home sensors to improve the efficiency of ICN-REM scheme. The detailed scenario is illustrated in Figure 3.

In ICN-REM scheme, we consider two different kinds of scenarios. One scenario is that EMU communicates with storage, SM and appliance to extremely shift the start time of demands to off-peak hours in purpose of saving customers' bills, which is called ICN-REM scheme (1) and the flow chat is proposed in Figure 2. The other scenario is that EMU cooperates with home sensors to provide more efficient REM scheme (i.e. EMU can suggest appliances to be closed or changed to sleep mode when it detect unattended appliances from sensor signals), which is called ICN-REM scheme (2) and flow chat is proposed in Figure 3.

Table 4. Algorithm 1 - Scheduling at the EMU when the Stored Energy is Available.

Algorithm 1 - Scheduling at the EMU when the Stored Energy is Available.
1: if ( $RSt_i$ is a conflicting request) then
2: $SSt_i \leftarrow ShiftToDelay()$
3: else
4: $SSt_i \leftarrow RSt_i$
5: end if

The detailed analysis for Figure 2 is highlighted to be shown as follows.

- 1) Step 1: *Start Request* will be sent from Appliance to EMU if it plans to work. Wherein, the requested start time ( $RSt_i$ ), operated time duration ( $Dt_i$ ) and others will be involved in this message.
- 2) Step 2: After received the *Start Request*, EMU will communicate with Storage to check the amount of available local energy from Storage by message *Storage Request*.
- 3) Step 3: After received the available energy amount from *Storage Response*, the **Algorithm 1** will calculate the suggested start time of appliance if the stored energy is enough for this appliance. Else
- 4) Step 4: EMU will send a request for getting the price of electricity and peak information from SM by *Meter Request*.
- 5) Step 5: After received the above information by *Meter Response*, it will run **Algorithm 2** to calculate the suggested start time of appliance.
- 6) Step 6: Then the suggested start time will be sent back to appliance by *Start Response*.
- 7) Step 7: After appliance decided the actual start time, it will be sent to EMU by *Start Notification*. It is worth noting that all the actual start time should be decided by appliance itself and EMU can't force any automated start time on the appliances because this will cause discomfort on customers' side.
- 8) Step 8: Finally, the used amount of local energy will be reported to Storage by *Storage Update*. In this scheme, if appliance can absolutely consume the local energy, it only needs to consider the conflicting requests regardless of TOU rates because local energy is no charging for consumers. This is the reason why EMU will not communicate SM to calculate the suggested start time of appliance. The detailed Algorithm 1 (i.e. Scheduling at the EMU when stored energy is available)

is shown in Table 4. Moreover, our previous work in [10] also contributes some details in following algorithm.

Then, the detailed Algorithm 2 (Scheduling at the EMU when the stored energy is not available) is illustrated in Table 5 as follows. In brief, if the requested start time of appliance is in peak hours, it will be encouraged to shift the start time to off-peak hours or mid-peak hours. Or if the requested start time of demand is in mid-peak hours, it will be encouraged to shift to off-peak hours. During these processes, the maximum allowable delay and conflicting request are considered. Moreover, our previous contribution [10] has some details, which will not list in this paper.

Since EMU cooperates with home sensors, it should put forward a novel ICN-REM scheme. In this scheme, four kinds of control messages such as *Stop Request*, *Stop Response*, *Control Request* and *Control Response* are used to assist EMU for this energy-efficient function in ICN-REM.

Table 5. Algorithm 2 - Scheduling at the EMU when the Stored Energy is Not Available

Algorithm 2 - Scheduling at the EMU when the Stored Energy is Not Available.
1: if ( $RSt_i$ is in peak hours) then
2: $SSt_i \leftarrow ShiftToOffPeakHours()$
3: if ( $Dt_i > D_{max}$ ) then
4: $SSt_i \leftarrow ShiftToMidPeakHours()$
5: if ( $Dt_i > D_{max}$ ) then
6: if ( $RSt_i$ is a conflicting request) then
7: $SSt_i \leftarrow ShiftToDelay()$
8: else
9: $SSt_i \leftarrow RSt_i$
10: end if
11: else
12: if ( $RSt_i$ is a conflicting request) then
13: $SSt_i \leftarrow ShiftToDelay()$
14: end if
15: end if
16: else
17: if ( $RSt_i$ is a conflicting request) then
18: $SSt_i \leftarrow ShiftToDelay()$
19: end if
20: end if
21: else
22: if ( $RSt_i$ is in mid-peak hours) then
23: $SSt_i \leftarrow ShiftToOffPeakHours()$
24: if ( $Dt_i > D_{max}$ ) then
25: if ( $RSt_i$ is a conflicting request) then
26: $SSt_i \leftarrow ShiftToDelay()$
27: else
28: $SSt_i \leftarrow RSt_i$
29: end if
30: else
31: if ( $RSt_i$ is a conflicting request) then
32: $SSt_i \leftarrow ShiftToDelay()$

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33: end if
34: end if
35: else
36: if ( $RSt_i$  is a conflicting request) then
37:  $SSt_i \leftarrow ShiftToDelay()$ 
38: else
39:  $SSt_i \leftarrow RSt_i$ 
40: end if
41: end if
42: end if
43: end if

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Hereby, the detailed 4 steps for ICN-REM Scheme (2) (Figure 3) is highlighted to be shown as follows.

- 1) Step 1: Sensors will send message *Stop Request* to EMU if Sensors measure any appliance is unattended. (e.g. lights are working but nobody is in this room).
- 2) Step 2: Then EMU will inform the corresponding appliance by a message *Control Request* with some control request. For instance, request for closing the appliance, changing to sleep mode or others.
- 3) Step 3: If the customer agrees this request by local or remote operation (i.e. smart phone or other devices), it will notice EMU by *Control Response*. It is worth noting that all the actual start time should be decided by appliance itself and EMU can't force any automated start time on the appliances because this will cause discomfort on customers' side.
- 4) Step 4: Finally, EMU will send a message *Stop Response* to corresponding sensors' request before.

#### 4. PROOF-OF-CONCEPT EVALUATION FOR ICN-HOMENET

In this paper, we will not give a numeric evaluation between ICN-HOMENET and IP-HOMENET because, the emphasis of this paper is ICN-REM scheme rather than performance evaluation of ICN-HOMENET. Furthermore, [2] and [6] already provided enough results that demonstrate ICN approach can well support for HOMENET system. Moreover, we will present a proof-of-concept evaluation to deal with the HOMENET issues in IP environment illustrated in this paper as follows.

**Security.** ICN's self-contained data security can help HOMENET system simplify security processing. In HOMENET system, it has a strong requirement to address security, especially confidentiality (requirement that data is intelligible only to authorized entities), integrity (requirement that data is the same as the source), and authenticity (requirement that data is from who it says it is from). Because energy data in HOMENET system can reflect our living privacy such as someone is at home or not, eating habits, health conditions, etc. However, the security of IP-HOMENET system is based on protection of end-to-end communication channels, which is an inheriting shortcoming from IP protocol and easy to be attacked by adversary. In the contrast, ICN-HOMENET system relies on the protection of data itself to ensure data integrity as well as authenticity by signature and confidentiality by encryption at data creation.

**Mobility.** ICN approach can help HOMENET system support mobility when devices change the network at home. HOMENET has a strong mobility requirement to ensure the seamless multimedia sharing when user switches the network. From the concept, ICN focuses on the content customers want to access regardless of where (on what host) that content resides, which helps ICN provide the foundation of mobility support. Moreover, on the basis of name-based

routing and distributed data caching in ICN approach. The mobile devices in ICN do not need to retrieve data upon reconnection to the network instead of re-sending the "interest" and getting the data from the caching of nearest router which has stored the copy of data. It fully satisfies the need of HOEMNET system, since devices in AMI may be only intermittently connected due to mobility.

Multicasting. ICN approach can help HOMENET system provide group-oriented publish-subscriber (pub-sub) manner. The group-oriented pub-sub communication manner is largely used among devices in HOEMNET systems. For example, the EV charging information will be sent to multiple places such as EV dashboard, owners' laptop, phone, etc. Actually, ICN's "interest-data" model maps naturally to data sharing pub-sub groups, and the entries in FIB and PIT tables map to a group of devices interested in the same piece of data.

In summary, ICN well suits the requirements of HOMENET system from security, mobility and group-oriented communication support. It can provide better services for future HOMENET system.

### 5. PERFORMANCE ANALYSIS OF ICN-REM SCHEME

Table 6. Power rate and duration of appliances

	<i>Washer</i>	<i>Dryer</i>	<i>Dish-washer</i>	<i>Coffee maker</i>
<i>Power Rate (kW/h)</i>	0.89	2.46	1.19	0.4
<i>Working Duration (min)</i>	30	60	90	10

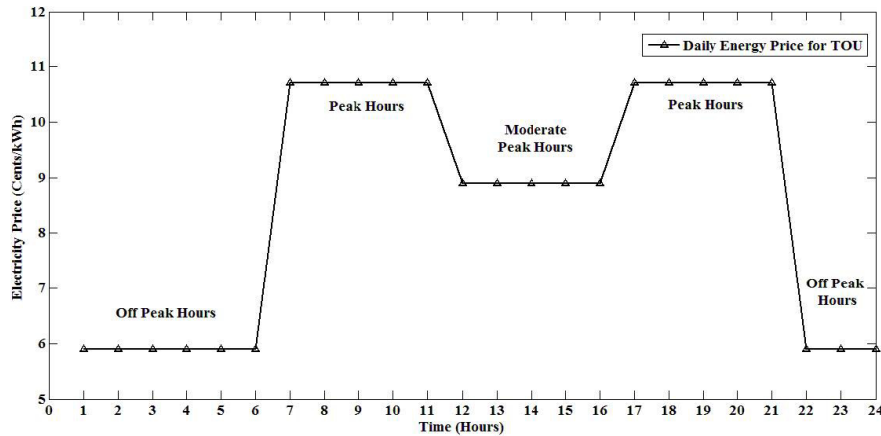


Figure 4. Daily Energy Price for TOU

We use NDNsim[13] for our simulations and part of simulation metrics and parameters follow [9][14][11]. There are four different home appliances are used in this simulation, which are washer, dryer, dishwasher and coffee maker. Furthermore, the power rate and working duration of each appliances (Shown as in Table 6) can be found in [15]. Specifically the power rates of washer, dryer, dishwasher and coffee maker are 0.89 kW/h, 2.46 kW/h, 1.19 kW/h and 0.4 kW/h, respectively. And the working durations of each appliances are 30 minutes, 60 minutes, 90

minutes and 10 minutes. Moreover, the coffee maker is assumed to be used for making 2 cups of coffee.

In our simulation, refer to the Hydro Ottawa daily energy price of TOU in winter condition [16], it is illustrated in Figure 4. Wherein, one day has been divided into three different durations: peak hours from 7 am to 11am and from 5 pm to 9 pm, mid-peak hours from 11 am to 5 pm, and the rest of time in one day is off-peak hours. Meanwhile, the respective energy price is 10.7 cents/kWh in peak hours, 8.9 cents/kWh in moderate hours, and 5.9 cents/kWh in off peak hours. Furthermore, consumer demand is modelled as a Poisson process to address the increasing demands in peak hours. And the solar power assumed to be generated with 6 PV panels with each having a capacity of 350Wh per day. This amount is approximately equal with the actual power generation by one solar panel with two hours of effective energy generation in winter.

In Figure 5, it compares the total cost of one home within 100 days in four different cases. It testifies the efficiency of ICN-REM scheme for ICN-HOMENET system and it also shows the significance of local energy generation for customers' bills. Note that total cost increase with increasing days because the bill is calculated cumulatively. As seen in Figure 5, the cost of customer with ICN-REM and local energy is more than 5 times than customers without them. Moreover, it demonstrates that shifting the appliance to off-peak hours is an efficient way to decrease bills for TOU-aware energy system.

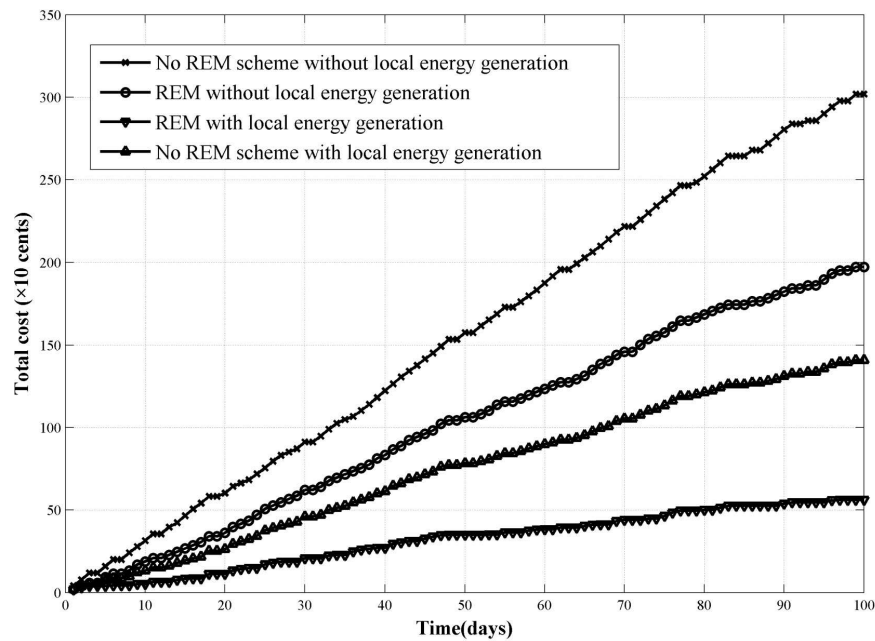


Figure 5.The Total Cost Comparison

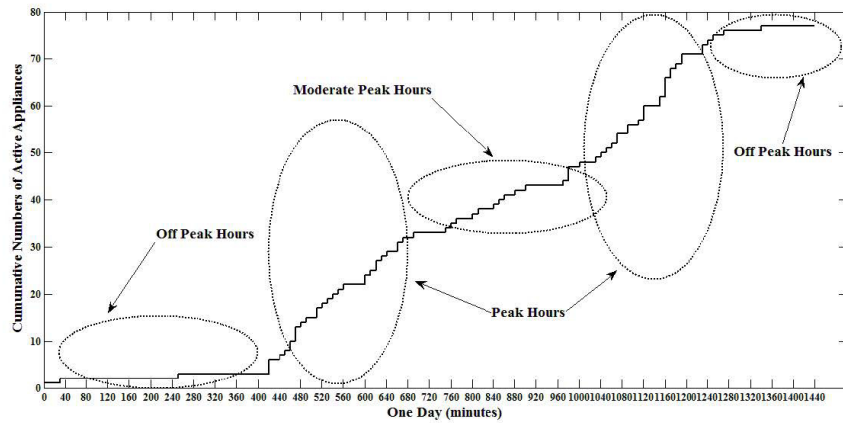


Figure 6. Cumulative Numbers of Active appliances in the Nature Condition (No REM and CRA)

In ICN-REM scheme, Conflicting Request Avoiding (CRA) is one of ICN-REM design principles and requirements, which is often ignored in ICN-REM design. Large number of conflicting request may cause network congestion. In order to demonstrate our proposed ICN-REM is efficient for CRA, we use cumulative distribution function to show the cumulative numbers of active appliances in Figure 6, 7 and 8. The horizontal axis stands for one day, here it is shown by minutes rather than hours. Moreover, the vertical axis means the cumulative numbers of active

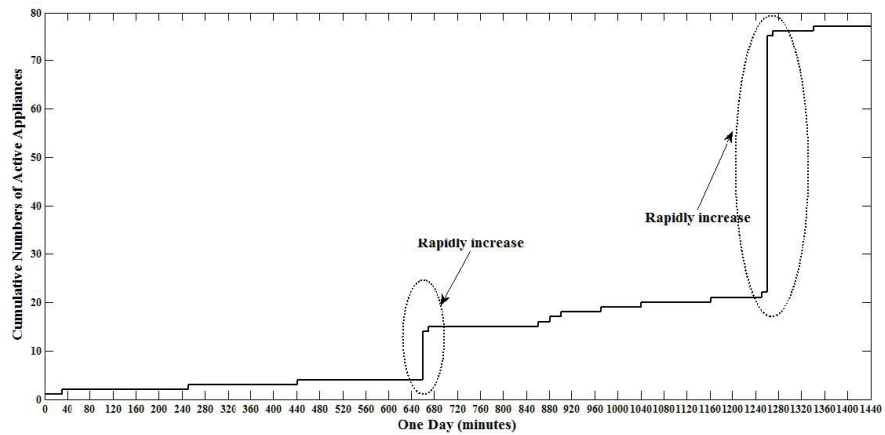


Figure 7. Cumulative Numbers of Active Appliances based on ICN-REM (No CRA)

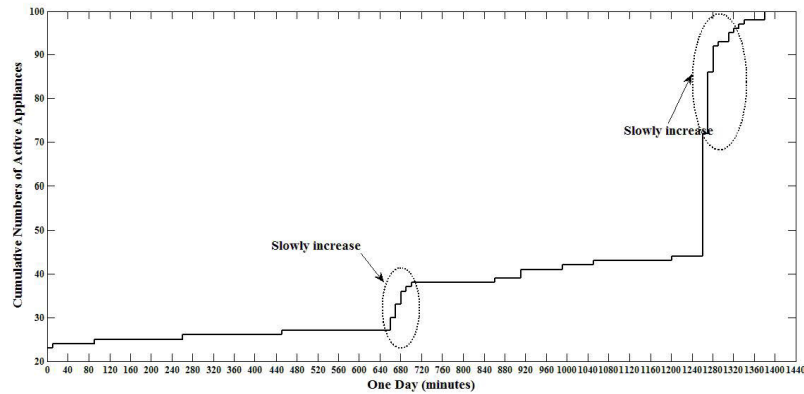


Figure 8. Cumulative Numbers of Active Appliances based on ICN-REM (CRA)

appliances. In these figures, if the cumulative numbers of active appliances rapidly increase, it shows large number of conflicting requests are existing.

Figure 6 illustrates the cumulative numbers of active appliances in the nature condition (i.e. there is no REM scheme and CRA mechanism). In this figure, the number of active appliances rapidly increases in two time intervals, which is respectively from 421 minutes (7:00 am) to 660 minutes (11:00 am) and from 1021 minutes (17:01) to 1260 minutes (21:00). It is approximately same with the peak hours' period. It also shows the distribution of demands almost concentrates in the peak or moderate peak hours the nature condition.

In the Figure 7, it illustrates the cumulative numbers of active appliances based on ICN-REM scheme but the CRA mechanism is not used in this case [9]. It shows the period of rapid increasing moves toward right direction in x axis. Particularly shift from 421 minutes (07:01 am) to 661 minutes (11:01 am) and from 1021 minutes (17:01) to 1261 minutes (21:01), it means the demands of appliances can efficiently shift from peak hours to moderate peak hours or off peak hours based on REM Scheme. On the other hand, the rapid increase also states the shifted demands largely aggregate in some same timeslots in off peak hours. In other words, large number of conflicting requests work. It may increase the network load and cause new peak hours.

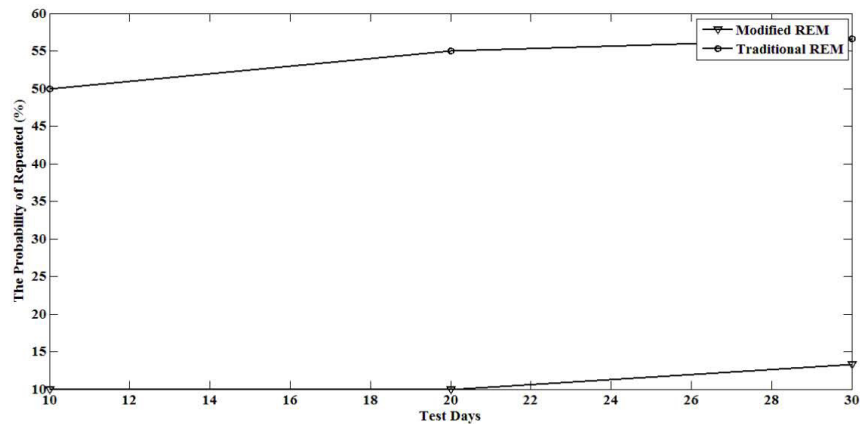


Figure 9. Conflict probability of demand between ICN-REM and [9]

In order to better evaluate this our proposed ICN-REM scheme, the numerical verification is used in Figure 8. Compared with Figure 7, the period of rapid increasing can also successfully move toward right direction in x axis. In other word, it also can effectively change the demand from peak or moderate peak hours to off peak hours. But the cumulative numbers of active appliances can be much slower increase in off peak hours. It clearly shows that demand can be effectively shift to the off peak hours and it also can prevent conflicting requests.

From the Figure 9, it more clearly demonstrates that our proposed ICN-REM scheme can efficiently decrease the conflict probability of demand. By our proposed scheme, the probability of conflict can decrease from 50% to 10% in test 10 days. Moreover if the experience period extends to 30 days, conflict probability can be decreased from 55% to 15%. This also demonstrates the proposed scheme is excellent to prevent the conflicting requests.

## 6. CONCLUSION

HOMENET plays an important role in SG since it performs multiple functions such as energy management, multimedia sharing, climate and lighting control, etc. However, current IP-HOMENET inherits serious fundamental problems of IP protocol such as mobility, group-oriented manner and mobility. In order to solve these issues, we put forward to apply ICN in HOMENET system because it can provide natural support for security, mobility and multicasting. Then we conduct the proof-of-concept evaluation comparison between ICN-HOMENET system and IP-HOMENET system. Furthermore, we present a cost-efficient REM scheme called ICN-REM for ICN-HOMENET system which encourage consumers to shift the appliance from peak hours to off-peak hours to reduce the energy bills. In this proposal, we not only consider the conflicting requests from appliances and domestic power generation, but also think the EMU should cooperate with measurement sensors to control some specific appliances in specific conditions. The corresponding performance evaluation validates its correctness and effectiveness. To the best of our knowledge, this is the first attempt to propose an ICN-based REM scheme in HOMENET system.

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