

ELASTIC PERFORMANCE FOR ETL+Q PROCESSING

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ABSTRACT

Most data warehouse deployments are not prepared to scale automatically, although some applications have large or increasing requirements concerning data volume, processing times, data rates, freshness and need for fast responses. The solution is to use parallel architectures and mechanisms to speed-up data integration and to handle fresh data efficiently. Those parallel approaches should scale automatically. In this work, we investigate how to provide scalability and data freshness automatically, and how to manage high-rate data efficiently in very large data warehouses. The framework proposed in this work handles parallelization and scales of the data-warehouse when necessary. It does not only scale-out to increase the processing capacity, but it also scales in when resources are underused. In general, data freshness is also not guaranteed in those contexts, because data loading, transformation, and integration are heavy tasks that are done only periodically, instead of row-by-row. The framework we propose is designed to provide data freshness as well.

KEYWORDS

Scalability, ETL, freshness, high-rate, performance, parallel processing, distributed systems, database, load-balance, algorithm

1. INTRODUCTION

ETL tools are special purpose software used to populate a data warehouse with up-to-date, clean records from one or more sources. The majority of current ETL tools organize such operations as a workflow. At the logical level, the Extraction (E) can be considered as a capture of data-flow from the sources with more than one high-rate throughput. The Transformation (T) represents transforming and cleansing data in order to be distributed and replicated across many processes and ultimately, Loading (L) the data into data warehouses to be stored and queried. For implementing these type of systems besides knowing all of these steps, the acknowledgment of user regarding the scalability issues is essential. When defining the ETL+Q, the user must consider the existence of data sources, where and how the data is extracted to be transformed, loading into the data warehouse and finally the data warehouse schema; each of these steps requires different processing capacity, resources, and data treatment. Moreover, the ETL is never so linear and it is more complex than it seems. Most often the data volume is too large and one single extraction node is not sufficient. Thus, more nodes must be added to extract the data and extraction policies from the sources such as round-robin or on-demand are necessary. After extraction, data must be re-directed and distributed across the available transformation nodes, again since transformation involves heavy duty tasks (heavier than extraction), more than one node should be present to assure acceptable execution/transformation times. Once again more new data distribution policies must be added. After the data is transformed and ready to be loaded, the load period time and a load time control must be scheduled. This means that the data have to be held between the transformation and loading process in some buffer. Eventually, regarding the data warehouse schema, the entire data will not fit into a single node, and if it fits, it will not be possible to execute queries within acceptable time ranges. Thus, more than one data

warehouse node is necessary with a specific schema which allows to distribute, replicate, and query the data within an acceptable time frame.

In this paper, we study how to provide parallel ETL+Q scalability with ingress high-data-rate in big data warehouses. We propose a set of mechanisms and algorithms to parallelize and scale each part of the entire ETL+Q process, which later will be included in an auto-scale (in and out) ETL+Q framework. The presented results prove that the proposed mechanisms are able to scale when necessary. In Section 2 we present some relevant related work in the field. Section 3 describes the architecture of the proposed system, Section 4 explains the main algorithms which allow to scale-out when necessary. Section 5 shows the experimental results obtained when testing the proposed system. Finally, Section 6 concludes the paper and discusses future work.

2. RELATED WORK

Works in the area of ETL scheduling includes efforts towards the optimization of the entire ETL workflows [8] and of individual operators in terms of algebraic optimization; e.g., joins or data sort operations. The work [4] deals with the problem of scheduling ETL workflows at the data level and in particular scheduling protocols and software architecture for an ETL engine in order to minimize the execution time and the allocated memory needed for a given ETL workflow. The second aspect in ETL execution that the authors address is how to flow execution at the operations level (blocking, non-parallel operations may exist in the flow) and how we can improve this with pipeline parallelization [3].

The work [6] focuses on finding approaches for the automatic code generation of ETL processes which is aligning the modelling of ETL processes in the data warehouse with MDA (Model Driven Architecture) by formally defining a set of QVT (Query, View, Transformation) transformations. ETLMR [5] is an academic tool which builds the ETL processes on top of Map-Reduce to parallelize the ETL operation on commodity computers. ETLMR does not have its own data storage (note that the offline dimension store is only for speedup purpose) but is an ETL tool suitable for processing large-scale data in parallel. ETLMR provides a configuration file for declaring dimensions, facts, User Defined Functions (UDFs), and other run-time parameters.

In [7] the authors consider the problem of data flows partitioning for achieving real-time ETL. The approach makes choices based on a variety of tradeoffs, such as freshness, recoverability, and fault-tolerance, by considering various techniques. In this approach partitioning can be based on round-robin (RR), hash (HS), range (RG), random, modulus, copy, and others [9].

In [2] the authors describe Liquid, a data integration stack that provides low latency data access to support near real-time in addition to batch applications. It supports incremental processing and is cost-efficient and highly available. The liquid has two layers: a processing layer based on a stateful stream processing model, and a messaging layer with a highly-available publish/subscribe system. The processing layer (i) executes ETL-like jobs for different back-end systems according to a stateful stream processing model [1]; (ii) guarantees service levels through resource isolation; (iii) provides low latency results, and (iv) enables incremental data processing. A messaging layer supports the processing layer. It (i) stores high-volume data with high availability; and (ii) offers rewind ability, i.e. the ability to access data through meta-data annotations. The two layers communicate by writing and reading data to and from two types of feeds, stored in the messaging layer. Related problems studied in the past include the scheduling of concurrent updates and queries in real-time warehousing and the scheduling of operators in data streams management systems. However, we argue that a fresher look is needed in the context of ETL technology. The issue is no longer the scalability cost/price, but rather the complexity it adds to the system. Previous presented recent works in the field do not address in detail how to scale each part of the ETL+Q and do not regard the automatic scalability to make ETL scalability easy and automatic.

The authors focus on mechanisms to improve scheduling algorithms and optimizing workflows and memory usage.

In our work we assume that scalability in a number of machines and quantity of memory is not the issue, we focus on offering scalability for each part of the ETL pipeline process, without the nightmare of operators relocation and complex execution plans. Our main focus is on scalability based on generic ETL process to provide the users desired performance with minimum complexity and implementations. In addition, we also support queries execution.

3. ARCHITECTURE

In this section, we describe the main components of the proposed architecture for ETL+Q scalability. Figure 1 shows the main components to achieve automatic scalability.

- All components from (1) to (7) are part of the Extract, Transform, Load and query (ETL+Q) process.
- The “Automatic Scaler” (13), is the node responsible for performance monitoring and scaling the system when it is necessary.
- The “Configuration file” (12) represents the location where all user configurations are defined by the user.
- The “Universal Data Warehouse Manager” (11), based on the configurations provided by the user and using the available “Configurations API” (10), sets the system to perform according to the desired parameters and algorithms.
- The “Universal Data Warehouse Manager” (11), also sets the configuration parameters for automatic scalability at (13) and the policies to be applied by the “Scheduler” (14).
- The “Configuration API” (10), is an access interface which allows to configure each part of the proposed Universal Data Warehouse architecture, automatically or manually by the user.
- Finally the “Scheduler” (14), is responsible for applying the data transfer policies between components (e.g. control the on-demand data transfers).

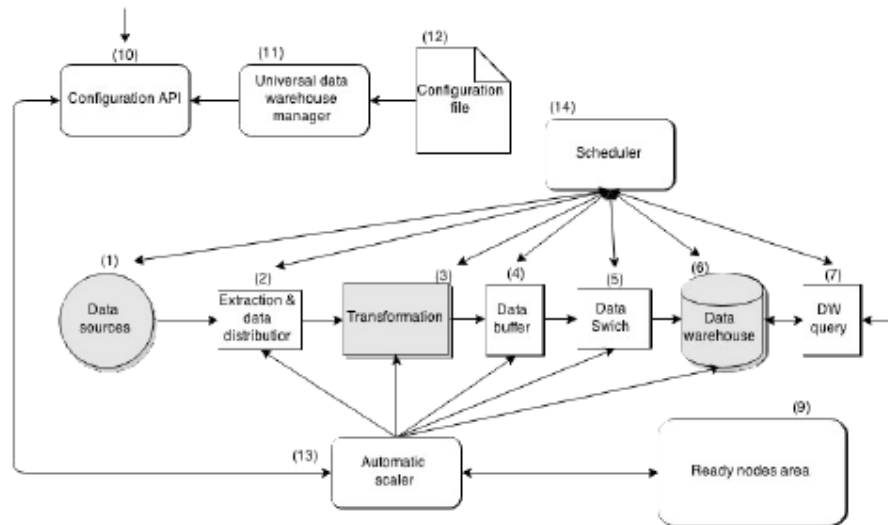


Figure 1: Automatic ETL+Q scalability

All these components, when set to interact together, are able to provide automatic scalability to the ETL and to the data warehouses processes without the need for the user to concern about its scalability or management.

Parallelization approach, Figure 2, depicts the main processes needed to support total ETL+Q scalability.

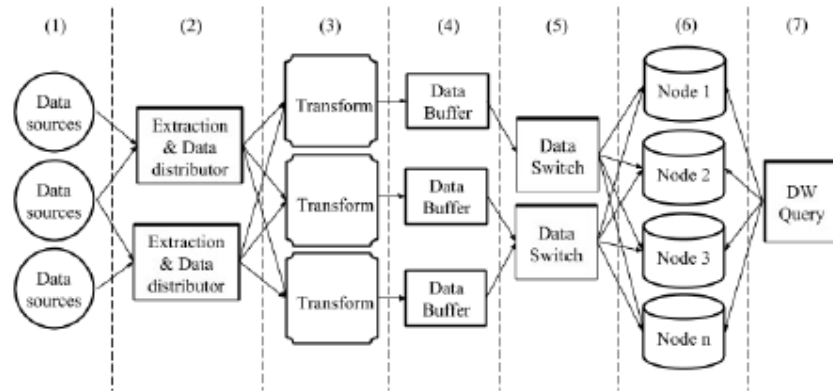


Figure 2: Total automatic ETL+Q scalability

- (1) Represents the data sources from where data is extracted into the system.
 - (2) The data distributor(s) is responsible for forwarding or replicating the raw data to the transformer nodes. The distribution algorithm to be used is configured and enforced in this stage. The data distributors (2) should also be parallelizable if needed, for scalability reasons.
 - (3) In the transformation nodes the data is cleaned and transformed to be loaded into the data warehouse. This might involve data lookups to in-memory or disk tables and further computation tasks. The transformation is parallelized for scalability reasons.
 - (4) The data buffer can be in memory, disk file (batch files) or both. In periodically configured time frames/periods, data is distributed across the data warehouse nodes.
 - (5) The data switches are responsible for distributing (pop/extract) data from the "Data Buffers" and set it for load into the data warehouse, which can be a single-node or a parallel data warehouse depending on configured parameters (e.g. load time, query performance).
 - (6) The data warehouse can be in a single node, or parallelized by many nodes. If it is parallelized, the "Data Switch" nodes will manage data placement according to configurations (e.g. replication and distribution). Each node of the data warehouse loads the data independently from the batch files.
 - (7) Queries are rewritten and submitted to the data warehouse nodes for computation. The results are then merged, computed and returned.
- The main concept we propose are the individual ETL+Q scalability mechanisms of each part of the ETL+Q pipeline. By offering a solution to scale each part independently, we provide a solution to obtain configurable performance.

4. DECISION ALGORITHMS FOR SCALABILITY PARAMETERS

In this section, we define the scalability decision methods as well as the algorithms which allow the framework to automatically scale-out and scale-in.

Extraction & data distributors

Scale-out depending on the number of existing sources and data generation rate and size, the nodes that process data extraction from the sources need to scale. The addition of more "extraction & data distributors" (2) depends on if the current number of nodes is being able to extract and process the data with the correct frequency (e.g. every 5 minutes) and inside the limit maximum extraction time (without delays). For instance, if the extraction frequency is specified as every 5 minutes and extraction duration 10 seconds, every 5 minutes then the "Extraction &

Data distributor" nodes cannot spend more than 10 seconds extracting data. Otherwise, a scale-out is needed, so the extraction size can be reduced and the extraction time improved. If the maximum extraction duration is not configured, then the extraction process must finish before the next extraction instant. If not processed until the next extraction instant, as defined by the extraction frequency, a scale-out is also required, to add more extraction power. Flowchart 3 describes the algorithm used to scale-out.

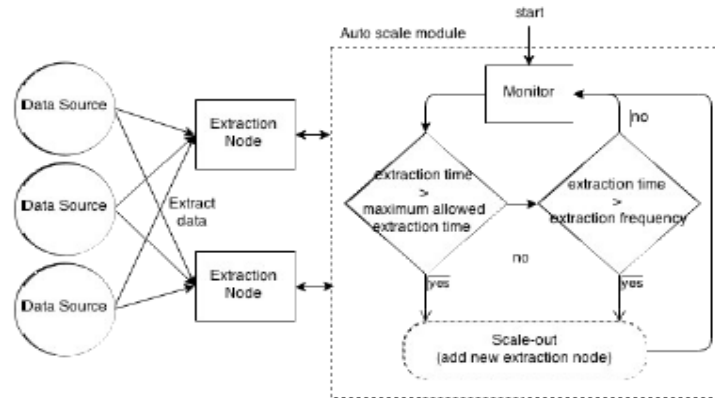


Figure 3: Extraction algorithm, scale-out

Extraction & data distributors

Scale-in to save resources when possible, nodes that perform the data extraction from the sources can be set in standby or removed. This decision is made based on the last execution times. If previous execution times of at least two or more nodes are less than half of the maximum extraction time (or if the maximum extraction time is not configured, the frequency), minus a configured variation parameter (X), one of the nodes is set on standby or removed, and the other one takes over. Flowchart 4 describes the applied algorithm to scale-in.

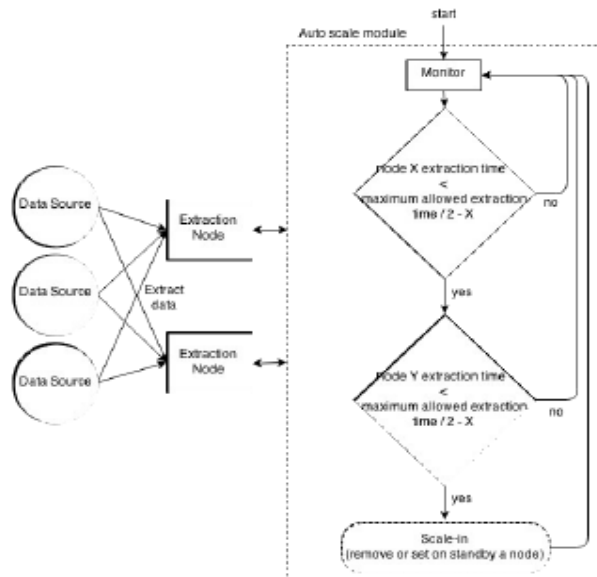


Figure 4: Extraction algorithm, scale-in

Transform – Scale-out The transformation process is critical, if the transformation is running slow, data extraction at the referred rate may not be possible, and information will not be available for loading and querying when necessary. The transformation step has an important queue used to determine when to scale the transformation phase. If this queue reaches a limit size (by default 50%), then it is necessary to scale, because the actual transformer node(s) is not being able to process all the data that is arriving. The size of all queues is analysed periodically. If this size at a specific moment is less than half of the limit size for at least two nodes, then one of those nodes is set on standby or removed. Flowchart 5, describes the algorithm used to scale-out and scale-in.

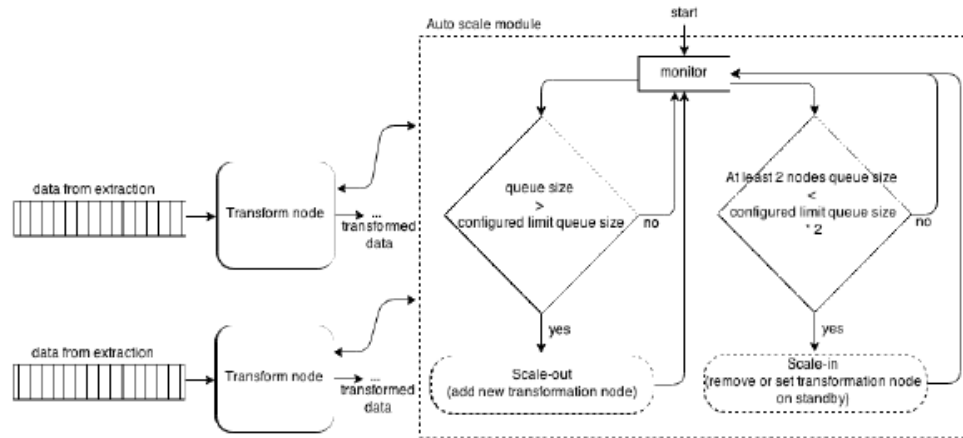


Figure 5: Transformation, scale-in and scale-out

Data buffer – Scale

The data buffer nodes scale-out based on the incoming memory queue size and the storage space available to hold data. When the available memory queue becomes full, above 50% of the configured maximum size, data starts being swapped into a disk, until the memory is empty. If even so the data buffer memory reaches the limit size the data buffer must be scaled-out. This means that the incoming data rate (going into memory storage) is not fast enough to swap to the disk storage and more nodes are necessary. If the disk space becomes full above a certain configured size, the data buffers are also set to scale-out. Flowchart 6 describes the algorithm used to scale-out the data buffer nodes. By user request, the data buffers can also scale-in, in this case, the system will scale if the data from any data buffer can be fitted inside another data buffer.

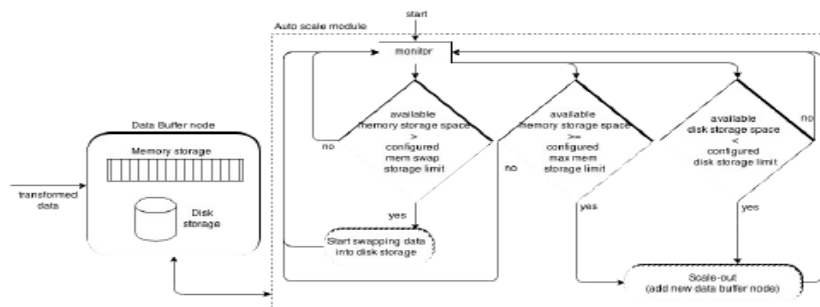


Figure 6: Data Buffers, scale-out

Data switch – Scale

The Data Switch nodes scale based on a configured data rate limit. If the data rate rises above the configured limit the data switch nodes are set to scale-out. The data switches can also scale-in, in this case, the system will allow it if the data rate is less than the configured maximum by at least 2 nodes, minus a Z configured variation, for a specific time. Flowchart 7 describes the used algorithm to scale the data switch nodes.

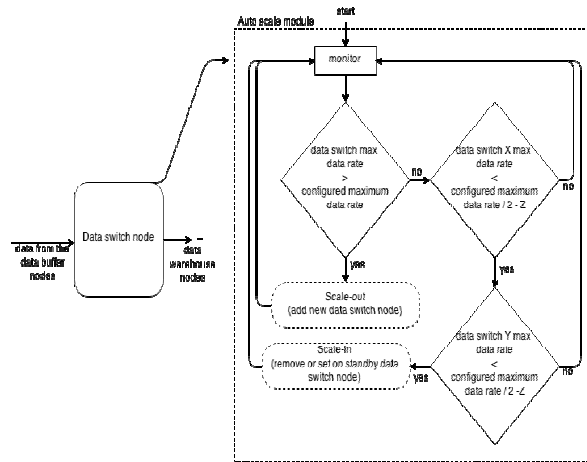


Figure 7: Data switch, scale

Data Warehouse – Scale

Data warehouse scalability needs are detected after each load process or by query execution time. The data warehouse load process has a configured limit time to be executed every time it starts. If that limit time is exceeded, then the data warehouse must scale-out. Flowchart 8 describes the algorithm used to scale the data warehouse when the maximum load time is exceeded.

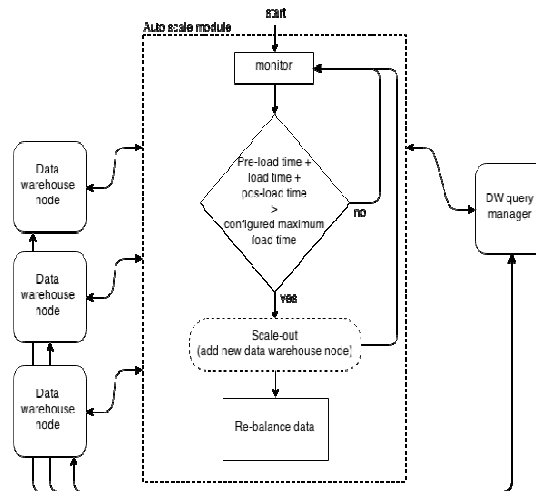


Figure 8: Data warehouse scale

The data warehouse scalability is not only based on the load & integration speed requirements, but also on the queries desired maximum execution time. After each query execution, if the query time to the data warehouse is more than the configured maximum desired query execution time, then the data warehouse is set to scale-out. The Flowchart 9 describes the algorithm used to scale the data warehouse based on the average query execution time.

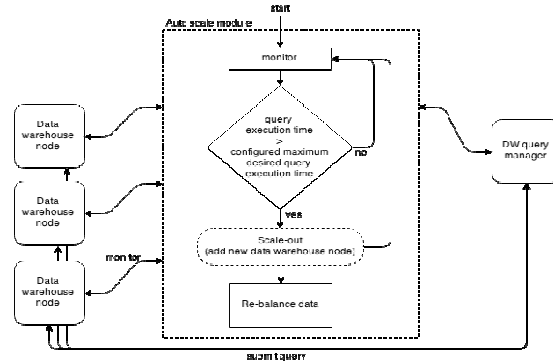


Figure 9: Data warehouse scale based on query time

Every time the data warehouse scales-out or scales in the data inside the nodes needs to be re-balanced. The default re-balance process to scale-out is based on the phases: Replicate dimension tables; Extract information from nodes; Load the extracted information into the new nodes. Scale-in process is more simple, data just needs to be extracted and loaded across the available nodes as if it is new data.

5 EXPERIMENTAL SETUP AND RESULTS

In this section, we describe the experimental setup, and experimental results to show that the proposed system, AScale, is able to scale and load balance data and processing.

5.1 EXPERIMENTAL SETUP

In this section, we describe the used testbed. The experimental tests were performed using 12 computers, denominated as nodes, with the following characteristics: Processor Intel Core i5-5300U Processor (3M Cache, up to 3.40 GHz); Memory 16GB DDR3; Disk: western digital 1TB 7500rpm; Ethernet connection 1Gbit/sec; Connection switch: SMC SMCOST16, 16 Ethernet ports, 1Gbit/sec. The 12 nodes were formatted before the experimental evaluation and installed with: Windows 7 enterprise edition 64 bits; Java JDK 8; Netbeans 8.0.2; Oracle Database 11g Release 1 for Microsoft Windows (X64) used in each data warehouse nodes; PostgreSQL 9.4 used for lookups during the transformation process; TPC-H benchmark representing the operational log data used at the extraction nodes. This is possible since TPC-H data is still normalized; SSB benchmark representing the data warehouse. The SSB is the star-schema representation of TPC-H data.

5.2 AUTOMATIC SCALABILITY

In this section, we describe the scalability tests made to the full auto-scale ETL framework. We demonstrate the scale-out and scale-in ability of the proposed framework using the proposed algorithms. Consider the following scenario:

- Sources are based on the TPC-H benchmark generator, which generates data at the highest possible rate (on each node);
- The transformation process consists of transforming the TPC-H relational model into a star-schema model, which is the SSB benchmark. This process involves heavy computational memory, temporary storage of large amounts of data, lookups and data transformations to assure consistency;
- The data warehouse tables schema consist on the same schema from SSB benchmark. Replication and partitioning are assured by AScale, whereas, dimension tables are replicated and fact tables are partitioned across the data warehouse nodes.
- The E, T, and L were set to perform every 2 seconds, and cannot last more than 1 second. Thus, the ETL process will last in the worst case 3 seconds total;
- The load process was made in batches of 100MB maximum;
- All default configurations of other components were set to use the default AScale configurations.

Figure 10 and 11 show how the proposed auto-scale ETL framework scales to deliver the configured ETL execution time, while the data rate increases/decreases. In the charts the X axis is represented the data rate per second, from 10.000 to 500.000 rows per second and the Y axis is the ETL time expressed in seconds; The system objective was set to deliver the ETL process in 3 seconds; In the charts we also represent the scale-out and scale in of each part of the framework, by adding and removing nodes when necessary. Note that If we set a lower execution time the framework will scale-out faster. If the execution time is higher (e.g. 3 minutes) the framework will scale later if more performance is necessary at any module.

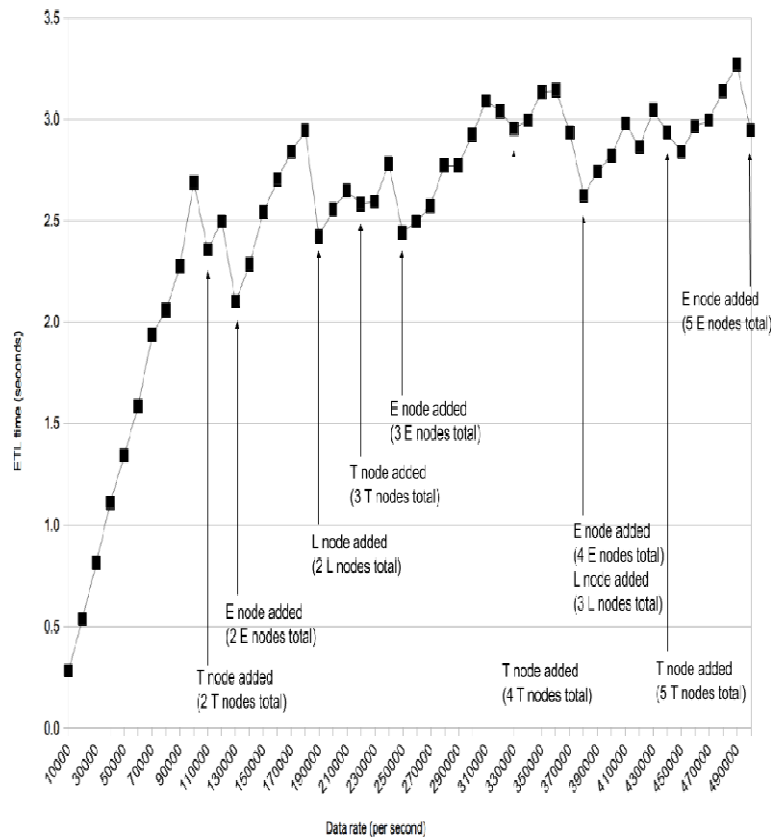


Figure 10: Full ETL system scale-out

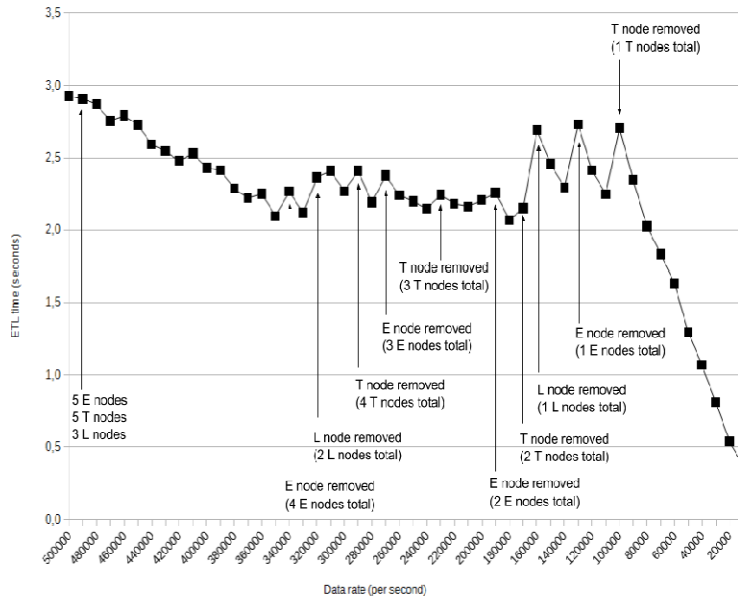


Figure 11: Full ETL system scale-in

Scale-out

results from Figure 10 show that, as the data-rate increases and parts of the ETL pipeline become overloaded, by using all proposed monitoring mechanisms in each part of the AScale framework, each individual module scales to offer more performance where necessary.

In Figure 10, we point each scaled-out module. Note that in some stages of the tests the 3 seconds limit execution time was exceeded in 0.1, 0.2 seconds. This happened due to the high data-rate usage of the network connecting all nodes that are not being accounted for the purposes of our tests.

Scale-in

results from Figure 11 show the moment when the current number of nodes are no longer necessary to assure the desired performance, and some nodes could be removed to be set as ready node in standby and be used in other parts to assure the ETL configured time.

When comparing the moments of scale-out and scale-in, it is possible to observe that the proposed framework scales-out much faster than it scales in. When a scale-in can be applied it is performed at a later stage than a scale-out.

5.3 DATA EXTRACTION NODES SCALABILITY

Figure 12 shows the scheduler based extraction approach to extract data, where the "automatic scale" (13) orders the nodes to extract data from sources (scheduler based extraction policy). Considering "datas-ources" (1) generate high-rate data and "extraction nodes" (2) extract the generated data when the data flow is too high a single data node cannot handle all ingress data. In this section, we study how the extraction nodes scale to handle different data rates. The maximum

allowed extraction time was set to 1 second. Extraction frequency was set to every 3 seconds. In Figure 13 we have in the left Y axis, the average extraction time in seconds; in the right Y axis, the number of nodes; The X axis is the data-rate; Black line represents the extraction time; Grey line represents the number of nodes as they scale-out. It is possible to see that every time the extraction takes too much time (more than 1 second as configured) a new node is added (from the ready nodes pool). After the new node added, more nodes are being used to extract data from the same number of sources, so the extraction time improves. As we increase the data rate, the extraction time becomes higher until it reaches more than 1 second, and another node is added.

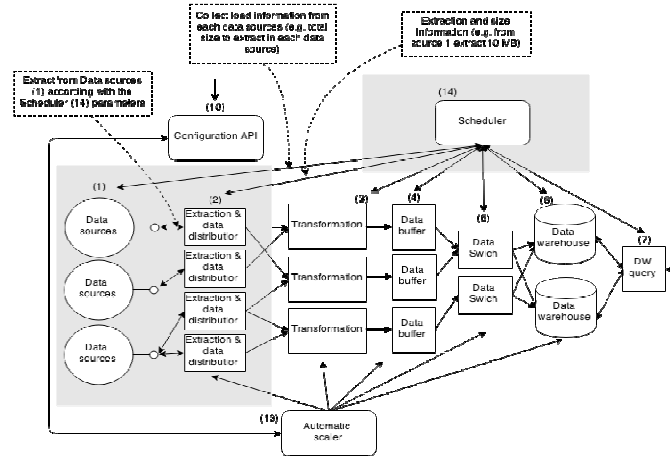


Figure 12: Sources to extraction

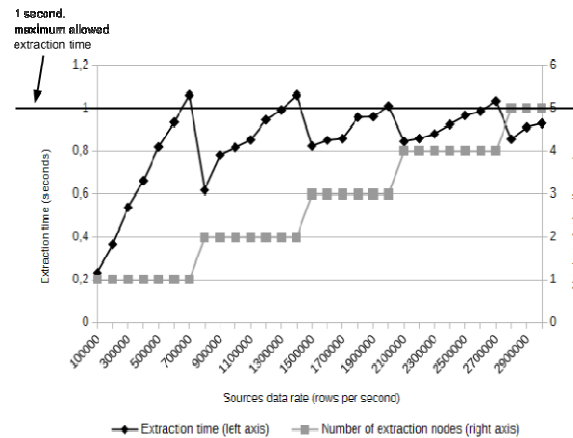


Figure 13: Extraction scalability

5.4 TRANSFORMATION SCALABILITY

During the ETL process, after data is extracted, it is set for transformation. Because this process is computationally heavy, it is necessary to scale the transformation nodes to assure that all data is processed without delays. Each transformation node has an entrance data queue, for ingress data. The "automatic scale" (13) monitors all queues, once it detects that a queue is full and above a

certain configured threshold it starts the scaling process. The transformation nodes scale-out mechanisms were set to the limit queue size to trigger the scale-out mechanisms at 50MB, approximately 380.000 rows.

In Figure 14, the Y axis represents the average queue size in number of rows; in the X axis, the data rate in rows per second; Each plotted bar represents the average transformation node queue size (up to 4 nodes); Each measure represents the average queue size of 60 seconds run. As it displays the moments when the queue size of the transformation nodes increase above the configured limit size, a new transformation node is added and data is distributed to all nodes in order to support the increasing data rate.

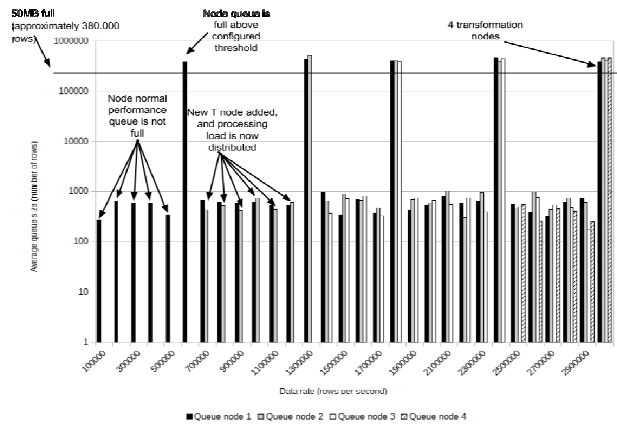


Figure 14: Automatic transformation scalability. 60 minutes processing per data-rate.

5.5. DATA BUFFER NODES

These nodes hold the transformed data until it is loading into the data warehouse. The data buffers have the following configuration: Generation data-rate speed 350.000 rows per second (i.e. transformation output data rate); Available memory storage 10.000MB (50% = 5.000MB); Available disk storage 1TB. We consider only the data generation/producer, there is no data "consumer", so the buffer must hold all ingress data

Figure 15 shows: X axis represents the time; Left Y axis represents the memory size; Right Y axis represents the disk storage size; The bars represent the memory usage; Continuous line represent the total data size in the disk, being swapped from memory. The chart shows the buffer nodes scaling-out. When 50% of the memory size is used, data starts being swapped into a disk. However, if the disk cannot handle all ingress data and the memory reaches the maximum limit size, a new node is added. After a new node added, data is distributed to both nodes. This makes the data rate at each node less (at least half) and then the disk from the first node can empty the memory back to 50%. After this point each time 50% of the maximum configured memory is reached, data will swap into the disk to free the memory.

5.6. DATA WAREHOUSE SCALABILITY

In this section we test the data warehouse scalability, which can be triggered either by the load process (because it is taking too long), or because the query execution is taking more time than the desired response time.

To test the data warehouse nodes load scalability we set the load method by using batch files of maximum 100Mb. The maximum allowed load time was set to 60 seconds. Each time a data warehouse node is added, we show the data size that was moved into the new node and the required time in seconds to re-balance the data. All load and re-balance times include the execution of Pre-load tasks (i.e. destroy all indexes and views) and Pos-load tasks (i.e. rebuild indexes and update views). If the maximum configured load time is exceeded more than 60 seconds, the data warehouse is set to be scaled. In Figure 16 we have in left Y axis, the average load time in seconds; right Y axis, the number of data warehouse nodes; X axis, the data batch size in MB. The horizontal bar at Y = 60 seconds represents the maximum configured load time. At each scale-out moment, there is a note specifying the data re-balanced size and time to perform it. Black plotted line represents the average load time. The Grey plotted line represents the number of data warehouse nodes. Experimental results in this chart show how the load performance degrades as the data size increases and how it improves when a new node is added. After a new node added performance improves below the maximum configured limit. Note that every time a new node was added, the data warehouse required to be re-balanced (data was evenly distributed by the nodes).

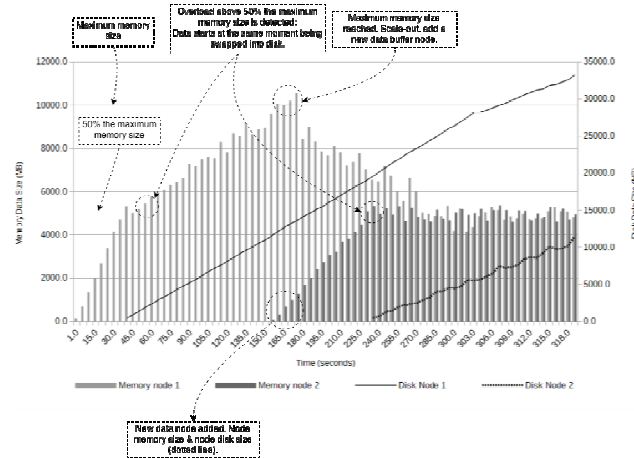


Figure 15: Data buffer swap into disk and scaling

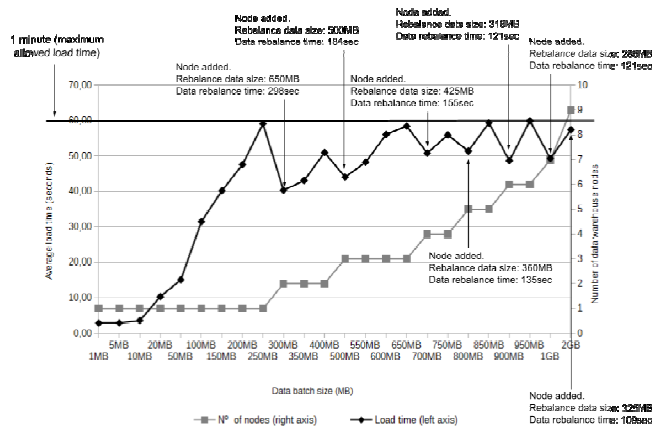


Figure 16: Data warehouse load scalability

5.7 QUERY SCALABILITY

When running queries, if the maximum desired query execution time (i.e. configured parameter) is exceeded, then the data warehouse is set to scale in order to offer more query execution performance. The following workloads were considered to test AScale query scalability, Workload 1 with 50GB total size, executing queries Q1.1, Q2.1, Q3.1, Q4.1 chosen randomly and with the desired execution time per query of 1 minute. Workload 2 with the same properties as workload 1 but, using 1 to 8 simultaneous sessions.

Workload 1 studies how the proposed mechanisms scales-out the data warehouse when running queries. Workload 2 studies the scalability of the system when running queries and the number of simultaneous sessions (e.g. number of simultaneous users) increases. Both workloads were set with the objective of guaranteeing the maximum execution time per query of 60 seconds.

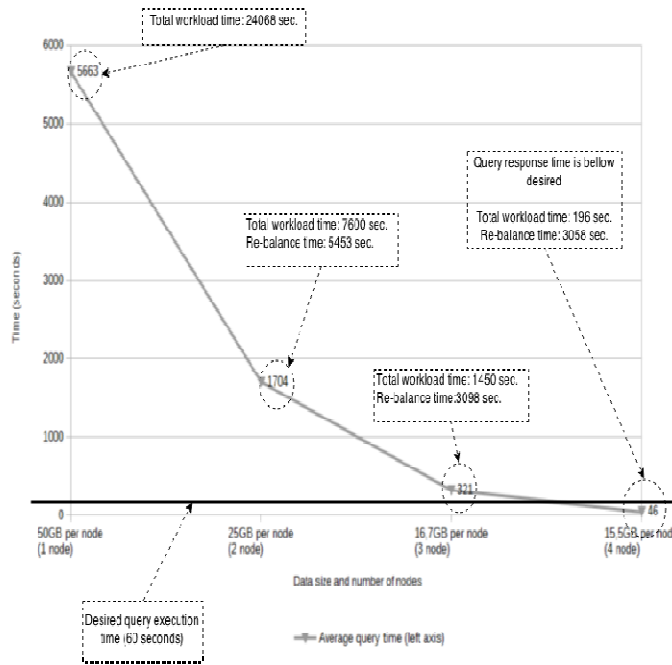


Figure 17: Data warehouse scalability, workload 1

Query scalability Workload 1

Figure 17 shows the experimental results for workload 1. Y axis shows the average execution time in seconds and X axis the data size per node and the current number of nodes. The horizontal line over 60 seconds represents the desired query execution time. At each scale-out, we identify the total workload time and data re-balance time (i.e. extract data, load into nodes, rebuild indexes and views). The plotted line represents the average query time execution. Every time the average query time is not inferior to the maximum configured query execution time, one extra node is added. In each scale-out, the re-balance time represents the necessary time to extract data from nodes and distribute it across all nodes (we also include indexes and views update time). Once the average query time reaches under the configured desired execution time, the framework stops scaling the data warehouse nodes.

Query scalability Workload 2

Figure 18 shows the experimental results for workload 2. Total data was 50GB. Left Y axis shows the average query execution time in seconds and right Y-axis, the average data re-balance time in seconds (i.e. extract from nodes, load into the new node, rebuild indexes and views). The x-axis shows the number of sessions, the data size per node and the number of nodes. The horizontal line over 60 seconds represents the desired query execution time. The last result does not respect the desired execution time because of the limited hardware resources for our tests, 12 nodes. The results show that while the number of simultaneous sessions increases the system scales the number of nodes in order to provide more performance. The query average execution time follows the configured parameters. We also plot the data rebalance time. Every time a new node is added data must be balanced by all data warehouse nodes, this includes, extract data from the existent nodes, load into the new node, and finally re-create all indexes and views (since indexes and views updates are done in parallel for all nodes, we update all indexes and views in the data warehouse simultaneously).

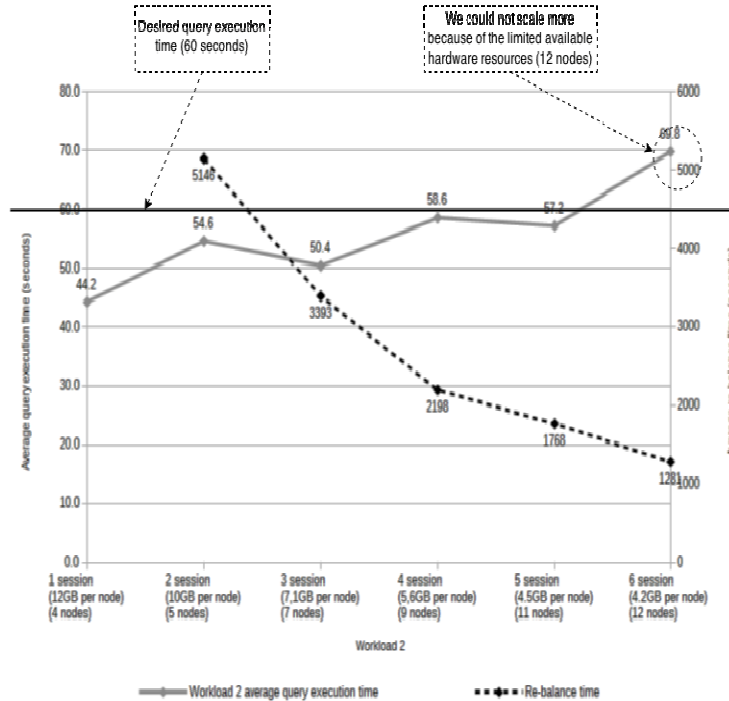


Figure 18: Data warehouse scalability, workload 2

6 CONCLUSIONS & FUTURE WORK

In this work we propose mechanisms and algorithms to achieve automatic scalability for complex ETL+Q, offering the possibility to the users to think solely in the conceptual ETL+Q models and implementations for a single server.

The tests demonstrate that the proposed techniques are able to scale-out automatically when more resources are required. Future work includes the comparison with other state-of-the-art tools and the development of drag and drops interface to make AScale available to the public.

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