

# ANALYSIS AND CONTROL OF THE PAN SYSTEM VIA SLIDING MODE CONTROL

Sundarapandian Vaidyanathan<sup>1</sup>

<sup>1</sup>Research and Development Centre, Vel Tech Dr. RR & Dr. SR Technical University  
Avadi, Chennai-600 062, T.N., INDIA  
sundarvtu@gmail.com

## ABSTRACT

*In this paper, we obtain new results for the analysis and control of the Pan system (2010) using sliding mode control (SMC). The stability results derived in this paper for the control of the Pan system to stabilize about its unstable equilibrium at the origin have been derived using sliding mode control and Lyapunov stability theory. Numerical simulations are depicted to demonstrate the control results derived in this paper.*

## KEYWORDS

*Sliding Mode Control, Chaos Control Chaotic Systems, Pan System.*

## 1. INTRODUCTION

A chaotic system is a nonlinear dynamical system with complex dynamical features such as being extremely sensitive to tiny changes in the initial conditions, having bounded trajectories in the phase-space, and so on [1]. Typical examples of chaotic systems are Lorenz system [2], Rössler system [3], Chen system [4], Lü-Chen system [5], Liu system [6], etc.

Chaos theory has been applied to a variety of fields such as physical systems [7], chemical systems [8], ecological systems [9], secure communications [10-12], etc. Chaos in control systems and control of chaotic dynamical systems have both received rapid attention in the recent decades [13-22].

In this paper, we derive new results based on the sliding mode control (SMC) [23] for the global control of Pan chaotic system [24]. In control engineering, SMC is often implemented because of its inherent advantages like easy realization, good transient performance, fast response and its robustness.

This paper has been organized as follows. In Section 2, we describe the global control of a chaotic system using sliding mode control (SMC). In Section 3, we discuss the global chaos control of the Pan system [24]. In Section 4, we give a summary of main results derived.

## 2. GLOBAL CONTROL OF A CHAOTIC SYSTEM USING SMC

In this paper, we take a controlled chaotic system described by

$$\dot{x} = Ax + f(x) + u \tag{1}$$

where  $x \in R^n$  is known as the state of the system,  $A$  is the  $n \times n$  constant matrix of the system

parameters,  $f : \mathcal{R}^n \rightarrow \mathcal{R}^n$  is the nonlinear part of the system and  $u$  is the control input.

The global chaos control problem aims to find a controller  $u$  such that

$$\lim_{t \rightarrow \infty} \|x(t)\| = 0 \quad \text{for all } x(0) \in \mathcal{R}^n. \quad (2)$$

To solve this problem, we first define the control  $u$  as

$$u = -f(x) + Bv \quad (3)$$

where  $B$  is a constant gain vector selected such that  $(A, B)$  is controllable.

Substituting (3) into (1), the state dynamics simplifies to

$$\dot{x} = Ax + Bv \quad (4)$$

which is a linear time-invariant control system with single input  $v$ .

Thus, the original global chaos control problem can be replaced by an equivalent problem of stabilizing the zero solution  $x = 0$  of the linear system (4) by a suitable choice of the sliding mode control.

In the sliding mode control, we define the variable

$$s(x) = Cx = c_1x_1 + c_2x_2 + \dots + c_nx_n \quad (5)$$

where  $C = [c_1 \quad c_2 \quad \dots \quad c_n]$  is a constant vector to be determined.

In the sliding mode control, we constrain the motion of the system (4) to the sliding manifold defined by

$$S = \{x \in \mathcal{R}^n \mid s(x) = 0\}$$

which is required to be invariant under the flow of the dynamics (4).

When in sliding manifold  $S$ , the system (4) satisfies the following conditions:

$$s(x) = 0 \quad (6)$$

which is the defining equation for the manifold  $S$  and

$$\dot{s}(x) = 0 \quad (7)$$

which is the necessary condition for the state trajectory  $x(t)$  of (4) to stay on the sliding manifold  $S$ .

Using (4) and (5), the equation (7) can be rewritten as

$$\dot{s}(x) = C[Ax + Bv] = 0 \quad (8)$$

Solving (8) for  $v$ , we obtain the equivalent control law

$$v_{eq}(t) = -(CB)^{-1}CAx(t) \quad (9)$$

where  $C$  is chosen such that  $CB \neq 0$ .

Substituting (9) into the state dynamics (4), we obtain the closed-loop dynamics as

$$\dot{x} = [I - B(CB)^{-1}C]Ax \quad (10)$$

The row vector  $C$  is selected such that the system matrix of the controlled dynamics  $[I - B(CB)^{-1}C]A$  is Hurwitz, *i.e.* it has all eigenvalues in the open left-half of the complex plane. Then the controlled system (10) is globally asymptotically stable.

To design the sliding mode controller for (4), we apply the constant plus proportional rate reaching law

$$\dot{s} = -q \operatorname{sgn}(s) - k s \quad (11)$$

where  $\operatorname{sgn}(\cdot)$  denotes the sign function and the gains  $q > 0$ ,  $k > 0$  are determined such that the sliding condition is satisfied and sliding motion will occur.

From equations (8) and (11), we can obtain the control  $v(t)$  as

$$v(t) = -(CB)^{-1}[C(kI + A)x + q \operatorname{sgn}(s)] \quad (12)$$

which yields

$$v(t) = \begin{cases} -(CB)^{-1}[C(kI + A)x + q], & \text{if } s(x) > 0 \\ -(CB)^{-1}[C(kI + A)x - q], & \text{if } s(x) < 0 \end{cases} \quad (13)$$

**Theorem 1.** *The global control problem for the chaotic system (1) is solved by applying the feedback control law*

$$u(t) = -f(x) + Bv(t) \quad (14)$$

where  $v(t)$  is defined by (12) and  $B$  is a column vector such that  $(A, B)$  is controllable.

**Proof.** First, we note that substituting (14) and (12) into the chaotic dynamics (1), we obtain the closed-loop error dynamics as

$$\dot{x} = Ax - B(CB)^{-1}[C(kI + A)x + q \operatorname{sgn}(s)] \quad (15)$$

To prove that the closed-loop state dynamics (15) is globally asymptotically stable, we consider the candidate Lyapunov function defined by the equation

$$V(x) = \frac{1}{2} s^2(x) \quad (16)$$

which is a positive definite function on  $R^n$ .

Differentiating  $V$  along the trajectories of (15) or the equivalent dynamics (11), we get

$$\dot{V}(e) = s(x)\dot{s}(x) = -ks^2 - q \operatorname{sgn}(s)s \quad (17)$$

which is a negative definite function on  $R^n$ .

This calculation shows that  $V$  is a globally defined, positive definite, Lyapunov function for the state dynamics (15), which has a globally defined, negative definite time derivative  $\dot{V}$ .

Thus, by Lyapunov stability theory [25], it is immediate that the state dynamics (15) is globally asymptotically stable. This completes the proof. ■

### 3. GLOBAL CHAOS CONTROL OF THE PAN SYSTEM VIA SMC

#### 3.1 Theoretical Results

In this section, we deploy the sliding mode control results derived in Section 2 for the global chaos control of the Pan system [24].

Thus, we consider the controlled Pan dynamics

$$\begin{aligned} \dot{x}_1 &= a(x_2 - x_1) + u_1 \\ \dot{x}_2 &= cx_1 - x_1x_3 + u_2 \\ \dot{x}_3 &= -bx_3 + x_1x_2 + u_3 \end{aligned} \quad (18)$$

where  $x_1, x_2, x_3$  are state variables,  $a, b, c$  are positive, constant parameters of the system and  $u_1, u_2, u_3$  are the controls to be designed.

We write the state dynamics (18) in the matrix notation as

$$\dot{x} = Ax + f(x) + u \quad (19)$$

where

$$A = \begin{bmatrix} -a & a & 0 \\ c & 0 & 0 \\ 0 & 0 & -b \end{bmatrix}, \quad f(x) = \begin{bmatrix} 0 \\ -x_1x_3 \\ x_1x_2 \end{bmatrix} \quad \text{and} \quad u = \begin{bmatrix} u_1 \\ u_2 \\ u_3 \end{bmatrix}. \quad (20)$$

The Pan system is chaotic when  $a = 10$ ,  $b = 8/3$ ,  $c = 16$  (see Figure 1).

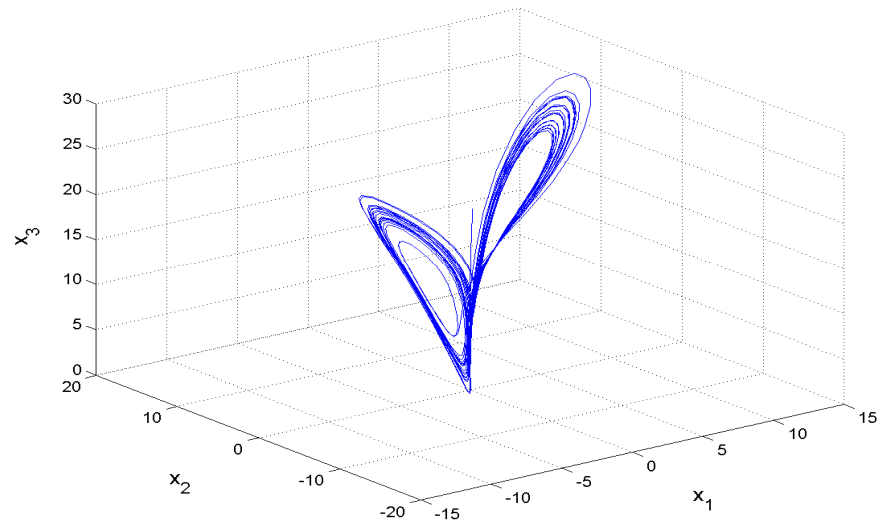


Figure 1. Strange Attractor of the Pan System

We follow the results in Section 2 for the sliding mode controller design.

First, we define the control  $u$  as

$$u = -f(x) + Bv \quad (21)$$

where  $B$  is carefully selected such that  $(A, B)$  is completely controllable. We take  $B$  as

$$B = \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix}. \quad (22)$$

The sliding mode variable is selected as

$$s = Cx = [6 \quad 6 \quad 1]x = 6x_1 + 6x_2 + x_3 \quad (23)$$

which makes the sliding mode state equation asymptotically stable.

We choose the sliding mode gains as  $k = 6$  and  $q = 0.1$ .

From Eq. (12), we can obtain  $v(t)$  as

$$v(t) = -5.5385 x_1 - 7.3846 x_2 - 0.2564 x_3 - 0.0077 \operatorname{sgn}(s) \quad (24)$$

Thus, the required sliding mode controller is obtained as

$$u = -f(x) + Bv \quad (25)$$

By Theorem 1, we obtain the following result.

**Theorem 2.** *The Pan chaotic system (18) is globally asymptotically stabilized for all initial conditions with the sliding mode controller  $u$  defined by (25). ■*

### 3.2 Numerical Results

For the numerical simulations, the fourth-order Runge-Kutta method with time-step  $h = 10^{-8}$  is used to solve the controlled Pan system (18) with the sliding mode controller  $u$  given by (25) using MATLAB.

In the chaotic case, the parameter values are given by  $a = 10$ ,  $b = 8/3$  and  $c = 16$ .

The sliding mode gains are chosen as

$$k = 6 \text{ and } q = 0.1.$$

The initial values of the Pan system (18) are taken as

$$x_1(0) = 30, x_2(0) = -25, x_3(0) = 20$$

Figure 2 illustrates the chaos control of the Pan system (18).

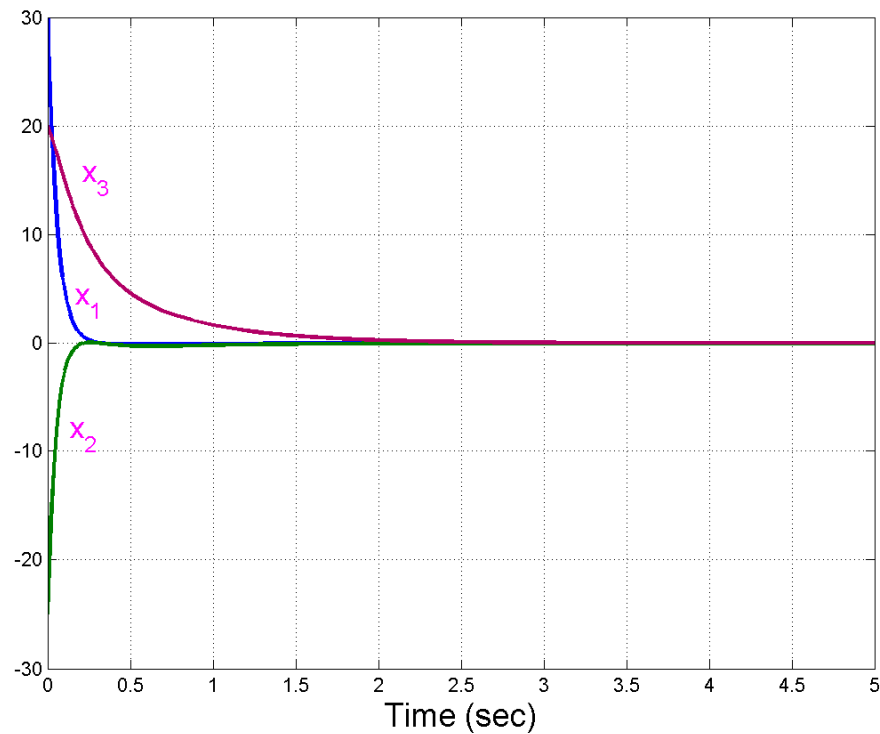


Figure 2. Chaos Control of the Pan System

#### 4. CONCLUSIONS

In this paper, we have implemented sliding mode control (SMC) for achieving global chaos control of the Pan system (2010). Our control results are proved for a general chaotic system using Lyapunov stability theory. Numerical simulations are also shown to illustrate the effectiveness of the SMC-based control results derived in this paper for the Pan chaotic system.

#### REFERENCES

- [1] Chen, G. & Dong, X. (1998) *From Chaos to Order: Methodologies, Perspectives and Applications*, World Scientific, Singapore.
- [2] Lorenz, E.N. (1964) "Deterministic nonperiodic flow," *J. Atmospheric Sciences*, Vol. 20, No. 2, pp 130-141.
- [3] Rössler, O.E. (1976) "An equation for continuous chaos," *Physics Letters*, Vol. 57A, No. 5, pp 397-398.
- [4] Chen, G. & Ueta, T. (1999) "Yet another chaotic attractor," *International Journal of Bifurcation and Chaos*, Vol. 9, pp 1465-1466.
- [5] Lü, J. & Chen, G. (2002) "A new chaotic attractor coined," *International Journal of Bifurcation and Chaos*, Vol. 12, pp 659-661.
- [6] Liu, C.X., Liu, T., Liu, L. & Liu, K. (2004) "A new chaotic attractor," *Chaos, Solitons & Fractals*, Vol. 22, No. 5, pp 1031-1038.
- [7] Lakshmanan, M. & Murali, K. (1996) *Nonlinear Oscillators: Controlling and Synchronization*, World Scientific, Singapore.
- [8] Han, S.K., Kerrer, C. & Kuramoto, Y. (1995) "Dephasing and bursting in coupled neural oscillators", *Phys. Rev. Lett.*, Vol. 75, pp 3190-3193.
- [9] Blasius, B., Huppert, A. & Stone, L. (1999) "Complex dynamics and phase synchronization in spatially extended ecological system", *Nature*, Vol. 399, pp 354-359.
- [10] Cuomo, K.M. & Oppenheim, A.V. (1993) "Circuit implementation of synchronized chaos with applications to communications," *Physical Review Letters*, Vol. 71, pp 65-68.
- [11] Kocarev, L. & Parlitz, U. (1995) "General approach for chaotic synchronization with applications to communication," *Physical Review Letters*, Vol. 74, pp 5028-5030.
- [12] Tao, Y. (1999) "Chaotic secure communication systems – history and new results," *Telecommun. Review*, Vol. 9, pp 597-634.
- [13] Alekseev, V.V. & Loskutov, A.Y. (1987) "Control of a system with a strange attractor through periodic parametric action," *Sov. Phys. Dokl.*, Vol. 32, pp 1346-1348.
- [14] Lima, R. & Pettini, M. (1990) "Suppression of chaos by resonant parametric perturbations," *Physical Review A*, Vol. 41, pp 726-733.
- [15] Weeks, E.R. & Burgess, J.M. (1997) "Evolving artificial neural networks to control chaotic systems," *Physical Review E*, Vol. 56, No. 2, pp 1531-1540.
- [16] Lima, R. & Pettini, M. (1998) "Parametric resonant control of chaos," *International J. Bifurcation and Chaos*, Vol. 8, pp 1675-1684.
- [17] Basios, V., Bountis, T. & Nocolis, G. (1999) "Controlling the onset of homoclinic chaos due to parametric noise," *Physics Letters A*, Vol. 251, pp 250-258.
- [18] Mirus, K.A. & Sprott, J.C. (1999) "Controlling chaos in a high dimensional system with periodic parametric perturbations," *Physics Letters A*, Vol. 254, pp 275-278.
- [19] Ge, S.S., Wang, C. & Lee, T.H. (2000) "Adaptive backstepping control of a class of chaotic systems," *International J. Bifurcation and Chaos*, Vol. 10, No. 5, pp 1149-1156.

- [20] Ginoux, J.M., Rossetti, B. & Jamet, J.L. (2005) "Chaos in a three-dimensional Volterra-Gause model of predator-prey type," *International J. Bifurcation and Chaos*, Vol. 15, No. 5, pp 1689-1708.
- [21] Sun, H. & Cao, H. (2008) "Chaos control and synchronization of a modified chaotic system," *Chaos, Solitons & Fractals*, Vol. 37, No. 5, pp 1442-1455.
- [22] Sundarapandian, V. & Pehlivan, I. (2012) "Analysis, control, synchronization and circuit design of a novel chaotic system," *Mathematical and Computer Modelling*, Vol. 55, Nos. 7-8, pp 1904-1915.
- [23] Utkin, V.I. (1993) "Sliding mode control design principles and applications to electric drives," *IEEE Trans. Industrial Electronics*, Vol. 40, pp 23-36, 1993.
- [24] Pan, L., Xu, D. & Zhou, W. (2010) "Controlling a novel chaotic attractor using linear feedback," *Journal of Information and Computing Science*, Vol. 5, pp 117-124.
- [25] Hahn, W. (1967) *The Stability of Motion*, Springer, New York.

## Author

**Dr. V. Sundarapandian** earned his Doctor of Science degree in Electrical and Systems Engineering from Washington University, St. Louis, USA in May 1996. He is a Professor at the R & D Centre at Vel Tech Dr. RR & Dr. SR Technical University, Chennai, Tamil Nadu, India. He has published over 275 papers in refereed international journals. He has published over 175 papers in National and International Conferences. He is the Editor-in-Chief of the AIRCC Journals - *International Journal of Instrumentation and Control Systems*, *International Journal of Control Systems and Computer Modelling*, *International Journal of Information Technology, Control and Automation*, *International Journal of Chaos, Control, Modelling and Simulation* and *International Journal of Information Technology, Modeling and Computing*. His research interests are Linear and Nonlinear Control Systems, Chaos Theory and Control, Soft Computing, Optimal Control, Operations Research, Mathematical Modelling and Scientific Computing. He has delivered several Keynote lectures on nonlinear control systems, chaos theory, mathematical modelling and scientific computing using MATLAB and SCILAB.

